

***Peripheral arterial tonometry  
with ascending aortic waveform  
analysis using the  
SphygmoCor system***

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The Medical Services Advisory Committee (MSAC) is an independent committee which has been established to provide advice to the Commonwealth Minister for Health and Ageing on the strength of evidence available on new and existing medical technologies and procedures in terms of their safety, effectiveness and cost-effectiveness. This advice will help to inform Government decisions about which medical services should attract funding under Medicare.

This report was prepared by the Medical Services Advisory Committee with the assistance of Dr Suzanne Dyer, Mr Marc Bevan and Ms Jolie Hutchinson from the Medical Technology Assessment Group (M-TAG) a unit of IMS Health. Ms Ann Jones of M-TAG edited the report. The Commonwealth Minister for Health and Ageing endorsed the report on 6 June 2006.

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# Executive summary

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## The procedure

The SphygmoCor system is a non-invasive diagnostic technology that enables pulse wave analysis of the central, ascending aortic pressure wave.

The SphygmoCor system allows a peripheral arterial pressure waveform to be obtained by applying an arterial applanation tonometer to the wrist. The tonometer partially compresses the radial artery and records a pressure wave over several cardiac cycles. This pressure wave is calibrated to brachial cuff blood pressure measurements. The averaged peripheral waveform is then converted to an ascending aortic waveform using a generalised mathematical transfer function.

## Medical Services Advisory Committee – role and approach

The Medical Services Advisory Committee (MSAC) is a key element of a measure taken by the Commonwealth to strengthen the role of evidence in health financing decisions in Australia. MSAC advises the Commonwealth Minister for Health and Ageing on the evidence relating to the safety, effectiveness and cost-effectiveness of new and existing medical technologies and procedures; and under what circumstances public funding should be supported.

A rigorous assessment of the available evidence is thus the basis of decision making when funding is sought under Medicare. A team from the Medical Technology Assessment Group (M-TAG), a unit of IMS, was engaged to conduct a systematic review of literature on peripheral arterial tonometry with ascending aortic waveform analysis using the SphygmoCor system. An Advisory Panel with expertise in this area then evaluated the evidence and provided advice to MSAC.

## MSAC's assessment of peripheral arterial tonometry with ascending aortic waveform analysis using the SphygmoCor system

### Clinical need

Hypertension is a common disorder. An estimated 3 million Australians over 25 years old have high blood pressure. This condition is a major financial burden on the Australian health care system. Blood pressure-lowering drugs available through the Pharmaceutical Benefits Scheme (PBS) cost \$755 million in 2000. This corresponds to 16.5 per cent of government and patient costs for prescription PBS drugs (Australian Institute of Health and Welfare 2004a).

In addition to its direct burden, hypertension is a major contributor to deaths due to all cardiovascular diseases. In 2002, cardiovascular disease was responsible for 38 per cent

of all deaths and 7 per cent of all hospitalisations (Australian Institute of Health and Welfare 2004a).

Coronary artery disease (CAD) was the largest single cause of death in the Australian population in 2002, and was responsible for 19.5 per cent of all deaths. The 2001 National Health Survey reported 1.9 per cent of the surveyed population (corresponding to approximately 355,600 Australians) experiencing some manifestation of CAD. The majority of these individuals reported having angina and approximately one-third reported experiencing a heart attack.

Heart failure was the third largest cause of death from cardiovascular diseases in the Australian population in 2002, and was responsible for 2 per cent of all deaths.

The estimated prevalence for heart failure in Australia is based on international data, approximately 300,000 Australians have chronic heart failure with a further 30,000 diagnosed each year.

## **Safety**

The SphygmoCor system is a non-invasive test and there are no adverse events associated with its use.

## **Effectiveness and diagnostic accuracy**

No studies providing evidence for the accuracy of the SphygmoCor system for the diagnosis of hypertension, white coat hypertension or spurious systolic hypertension of youth were identified. A more detailed analysis of the spurious systolic hypertension of youth data did not yield persuasive evidence to support the ability of the SphygmoCor system to accurately diagnose spurious systolic hypertension of youth.

One single study was identified that provided evidence for diagnostic accuracy of the SphygmoCor system for the diagnosis of CAD. On the basis of this evidence, the augmentation index (AIx) measure as determined by the SphygmoCor system was recognised as having limited value for the diagnosis of CAD.

No studies providing evidence for the diagnostic accuracy of the SphygmoCor system for the diagnosis of heart failure were identified.

## **Impact on patient management**

No studies providing evidence for the effect of SphygmoCor system on patient management were identified.

## **Impact on health outcomes**

There was no evidence of equivalent or improved diagnostic accuracy using the SphygmoCor system relative to using the comparators or of changes to patient management. Therefore, evidence for the effectiveness of treatment on health outcomes was not necessary for this assessment

## **Cost-effectiveness**

In the absence of evidence supporting the effectiveness of SphygmoCor, a cost-effectiveness analysis was not undertaken.

## **Recommendation**

Since there is currently insufficient evidence pertaining to peripheral arterial tonometry with ascending aortic waveform analysis using the SphygmoCor system, MSAC recommended that public funding should not be supported at this time for this procedure.

– The Australian Government Minister for Health and Ageing accepted this recommendation on 6 June 2006. –



# Introduction

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The Medical Services Advisory Committee (MSAC) has reviewed the use of peripheral arterial tonometry with ascending aortic waveform analysis using the SphygmoCor system, which is a diagnostic test for the assessment of hypertension, angina pectoris and heart failure. MSAC evaluates new and existing health technologies and procedures for which funding is sought under the Medicare Benefits Scheme in terms of their safety, effectiveness and cost-effectiveness, while taking into account other issues such as access and equity. MSAC adopts an evidence-based approach to its assessments, based on reviews of the scientific literature and other information sources, including clinical expertise.

MSAC's terms of reference and membership are at **Appendix A**. MSAC is a multidisciplinary expert body, comprising members drawn from such disciplines as diagnostic imaging, pathology, surgery, internal medicine and general practice, clinical epidemiology, health economics, consumer health and health administration.

This report summarises the assessment of current evidence for the use of peripheral arterial tonometry with ascending aortic waveform analysis using the SphygmoCor system for hypertension, angina pectoris and heart failure.

# Background

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## Peripheral arterial tonometry with ascending aortic waveform analysis using the SphygmoCor system

### Blood pressure

Blood pressure is the pressure that is exerted on the walls of the arteries due to the ejection of blood from the heart. The peak pressure during the cardiac cycle is the systolic blood pressure (SBP), and the minimum pressure is the diastolic blood pressure (DBP). The difference between the systolic and diastolic pressure is the pulse pressure (PP).

The widely accepted World Health Organization definition of hypertension (high blood pressure) is an SBP of  $\geq 140$  mmHg and/or a DBP  $\geq 90$  mmHg. However, it should be noted that the cut-offs defining hypertension are arbitrary. The National Heart Foundation of Australia recommends the arterial blood pressure classifications shown in **Table 1**.

**Table 1** Classification of blood pressure levels

Category	Systolic (mmHg)	Diastolic (mmHg)
Normal	< 120	< 80
High-normal	120–139	80–89
Grade 1 (mild)	140–159	90–99
Grade 2 (moderate)	160–179	100–109
Grade 3 (severe)	$\geq 180$	$\geq 110$
Isolated systolic hypertension	$\geq 140$	< 90

Source: (Australian Heart Foundation 2004).

Hypertension is a significant risk factor for cardiovascular and kidney diseases, and is a major cause of premature death and permanent disability in Australia. The Australian Institute of Health and Welfare's publication *Heart, stroke and vascular diseases: Australian facts 2001* states that:

- high blood pressure is a major risk factor for coronary heart disease, stroke, heart failure and peripheral vascular disease
- high blood pressure increases cardiovascular risk by 2–3 times
- the risk of disease increases as the blood pressure level increases.

Detection and treatment of hypertension is therefore essential in the primary prevention of cardiovascular disease, including coronary artery disease (commonly presenting as angina) and heart failure. However, hypertension is an asymptomatic condition and many people remain undiagnosed and untreated. The National Heart Foundation of Australia (2004) recommends that patients with normal blood pressure be checked every two years. The frequency of assessment should be greater in the presence of hypertension or high-normal blood pressure. Similarly, more frequent assessment is appropriate when other cardiovascular risk factors are present.

## Blood pressure measurement

Hypertension is usually diagnosed by taking standard blood pressure measurements using a sphygmomanometer. This involves placing a cuff around the upper arm and inflating it to a pressure greater than the systolic pressure, which compresses the brachial artery. As the cuff is deflated, the blood flow through the compressed artery resumes. The sounds associated with the changes in blood flow which occur as the pressure in the cuff is decreased, are known as the Korotkoff sounds. The physician determines blood pressure by listening for the Korotkoff sounds with a stethoscope.

Automated cuff blood pressure measurement devices are also available. These work by one of two techniques. The auscultatory technique operates on the same principle as standard blood pressure measurement, using a microphone or ultrasound device to detect the Korotkoff sounds. The oscillometric technique detects blood pressure by measuring the oscillations of the arterial wall.

Non-invasive blood pressure measurement by these methods is the standard means of estimating systemic blood pressure. However, misleading or erroneous readings may occur in subjects with significant hardening of the brachial artery (pseudohypertension). In addition, individuals who become stressed during the performance of blood pressure measurement may have a temporary increase in blood pressure ('white coat hypertension').

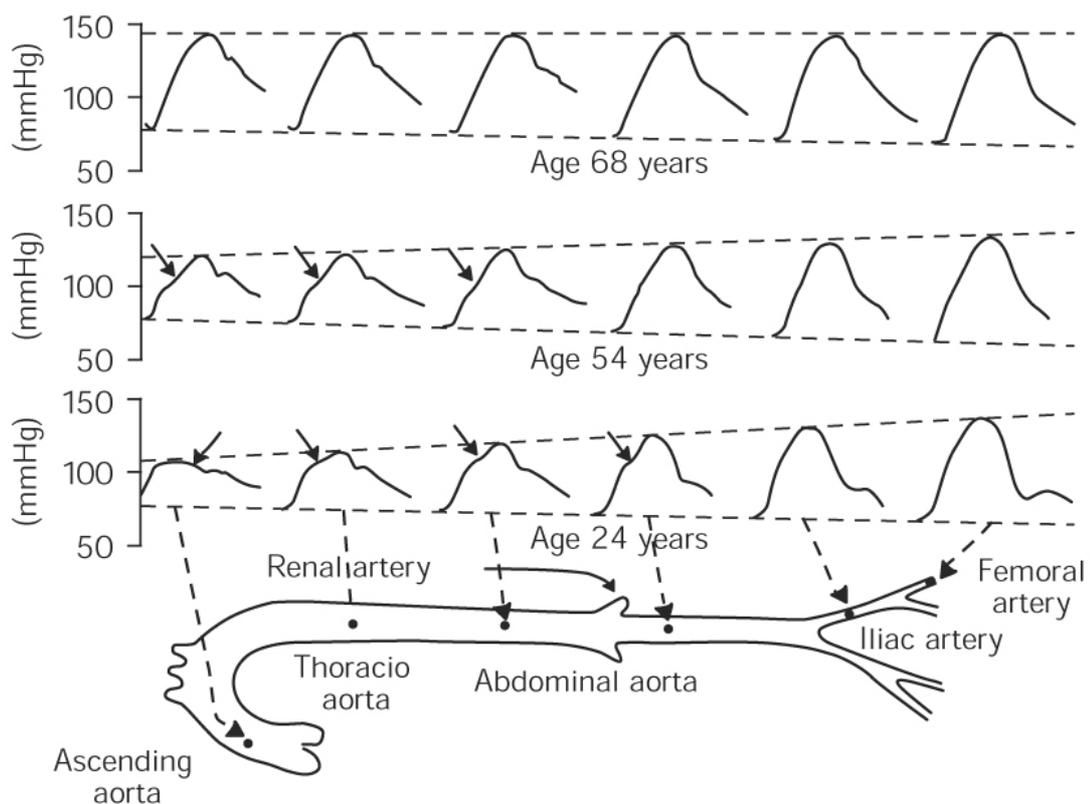
Individuals with hypertension are often further assessed by 24-hour ambulatory blood pressure monitoring (ABPM). ABPM utilises a portable sphygmomanometer (with an attached recording device) to measure blood pressure repeatedly over a 24-hour period. A trained technician is required to set up the ABPM device, and the subject then goes about their usual daily activities. The sphygmomanometer inflates at predefined times, and the blood pressures are recorded on a data storage unit. After the monitoring period is complete, the blood pressure data are analysed to determine the patient's mean 24-hour blood pressure, mean daytime blood pressure and mean night-time blood pressure readings. This approach provides information on the subject's blood pressure in their normal environment and routine (Australian Heart Foundation 2004; Lefevre et al 2001).

The most accurate method of measuring blood pressure and pressure waveforms is by passing a catheter into an artery to obtain direct pressure measurement. There are two basic types of catheters used for invasive blood pressure measurement: fluid-filled catheters with an external manometer and catheter-tipped transducers. Fluid-filled catheters rely on the transmission of the pressure wave through the fluid in the catheter lumen. An external pressure transducer can then record the pressure wave. The transducer must be reliably calibrated and the baseline set at atmospheric pressure. Air bubbles within the fluid interface can produce errors in pressure recording. Catheter-tipped transducers have a miniature pressure transducer at the end of the catheter which can be positioned within the heart. These manometers generally have higher fidelity for recording pressure waves, with resonant frequencies into the kHz range (Nichols et al 2005).

Cardiac catheterisation is used in several diagnostic applications in a clinical setting. Injection of dye through a cardiac catheter can be used to measure cardiac output or image coronary artery blood flow (angiography).

## Pressure waveform

Due to the pulsatile nature of blood flow, arterial blood pressure has a characteristic waveform. The contour of this pressure wave varies throughout the body, as well as with increasing age and cardiovascular disease states (**Figure 1**). In normal individuals, the pulse pressure is greater in the brachial artery than the aorta. However, this increase in pulse pressure between the aorta and peripheral arteries declines with age, as the elasticity of the large arteries decreases (Nichols et al 2005). Therefore, measurement of blood pressure by standard sphygmomanometry is more useful for estimating central aortic blood pressure in older subjects than in younger individuals.

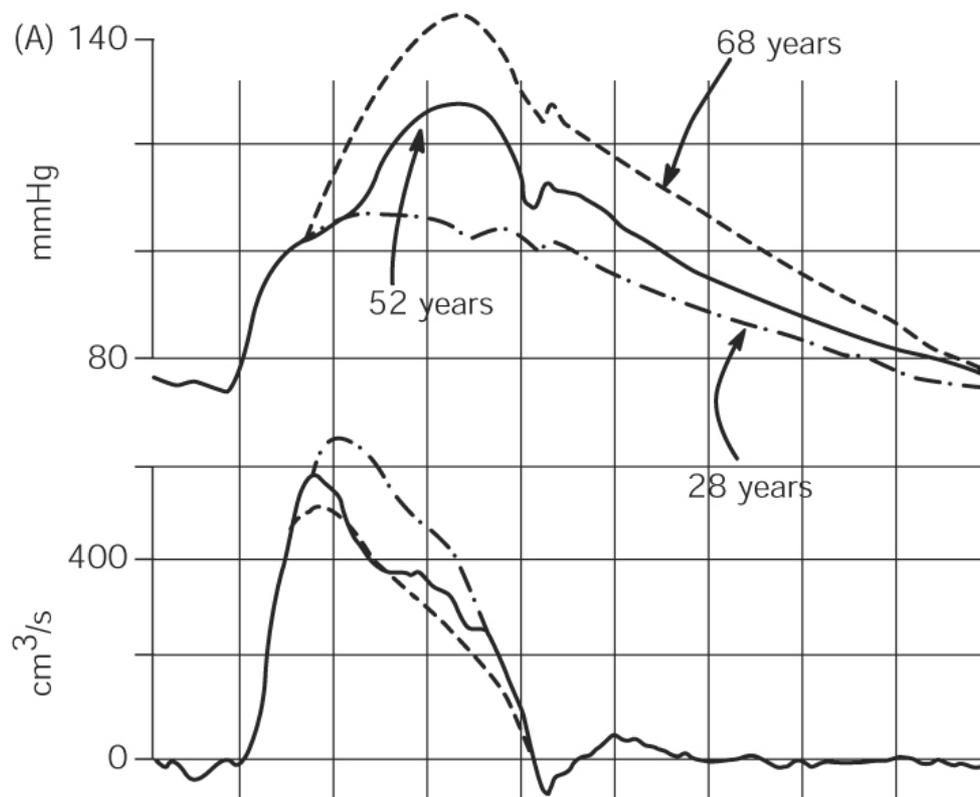


**Figure 1** Typical arterial pressure waveforms according to age

From: *A Clinical Guide: Pulse Wave Analysis* (2005). [http://www.atcormedical.com/users\\_guide.html](http://www.atcormedical.com/users_guide.html)

The pressure wave at any location in the body is a composite of an outgoing and a reflected pressure wave. The first is the pressure wave that travels from the heart to the peripheral arteries, generated by contraction of the left ventricle. The second is a pressure wave reflected back from the periphery, due to the resistance provided by the small calibre distal vessels. In a healthy adolescent, this reflected wave meets the central aortic pressure wave during the diastolic period, and does not augment the central systolic pressure. The increase in the central pressure during diastole assists in perfusion of the heart muscle (myocardium). The systolic pressure in more peripheral vessels is, however, increased due to summation with the reflected wave. Thus, there are differences between peripheral and central blood pressure, and the former may not always reflect the latter.

In older adults, and individuals with vascular diseases such as atherosclerosis, the arteries are usually less compliant. This arterial stiffening is primarily due to degeneration of the elastic components of the large arteries. As the compliance decreases, the cushioning effect of the arteries on the pulsatile components of the pressure wave decreases. This decreased compliance results in the pressure wave travelling along the arteries at an increased rate – that is, the pulse wave velocity of both the outgoing and reflected wave is increased. The reflected wave therefore meets the initial wave at an earlier time, during the late systolic period. This results in an increase in, or augmentation of, the central systolic pressure with a late systolic peak (**Figure 2**). The systolic and diastolic blood pressures increase with ageing, with an increase in pulse pressure apparent from approximately 50 years of age (Nichols et al 2005).



**Figure 2 Typical aortic pressure waveforms in normotensive subjects according to age**

Note the increase in late systolic augmented pressure with age due to increased arterial stiffness and wave reflection.

From: *A Clinical Guide: Pulse Wave Analysis* (2005). [http://www.atcormedical.com/users\\_guide.html](http://www.atcormedical.com/users_guide.html)

A few healthy, young people have isolated increased systolic blood pressure (greater than 140 mmHg) with normal diastolic blood pressure, as determined by standard sphygmomanometry (Mahmud and Feely 2003; O'Rourke et al 2000). Investigations usually reveal no abnormality in these individuals. They are typically young (approximately 15–25 years), tall, male and athletic. Their systolic pressure is higher in the upper limbs than in the ascending aorta or left ventricle (ie, the PP amplification that occurs between the aorta and brachial arteries in normal young people is increased). This 'isolated spurious systolic hypertension of youth' is thought to be due to increased amplification of the pulse wave in the upper limb. This contrasts with amplification of

the central pressure wave seen in older individuals, which is due to augmentation by the reflected pressure wave. The increase in brachial systolic pressure is therefore due to different haemodynamic mechanisms than true central systolic hypertension. Hence, subjects with isolated systolic hypertension of youth will have an aortic pulse waveform with a normal systolic pressure and without a late systolic peak.

Pulse wave analysis of the central aortic pressure wave focuses on several technical measures of the waveform characteristics that are indicative of arterial disease. It is suggested that these measures may be used to determine the risk of cardiovascular events in individuals.

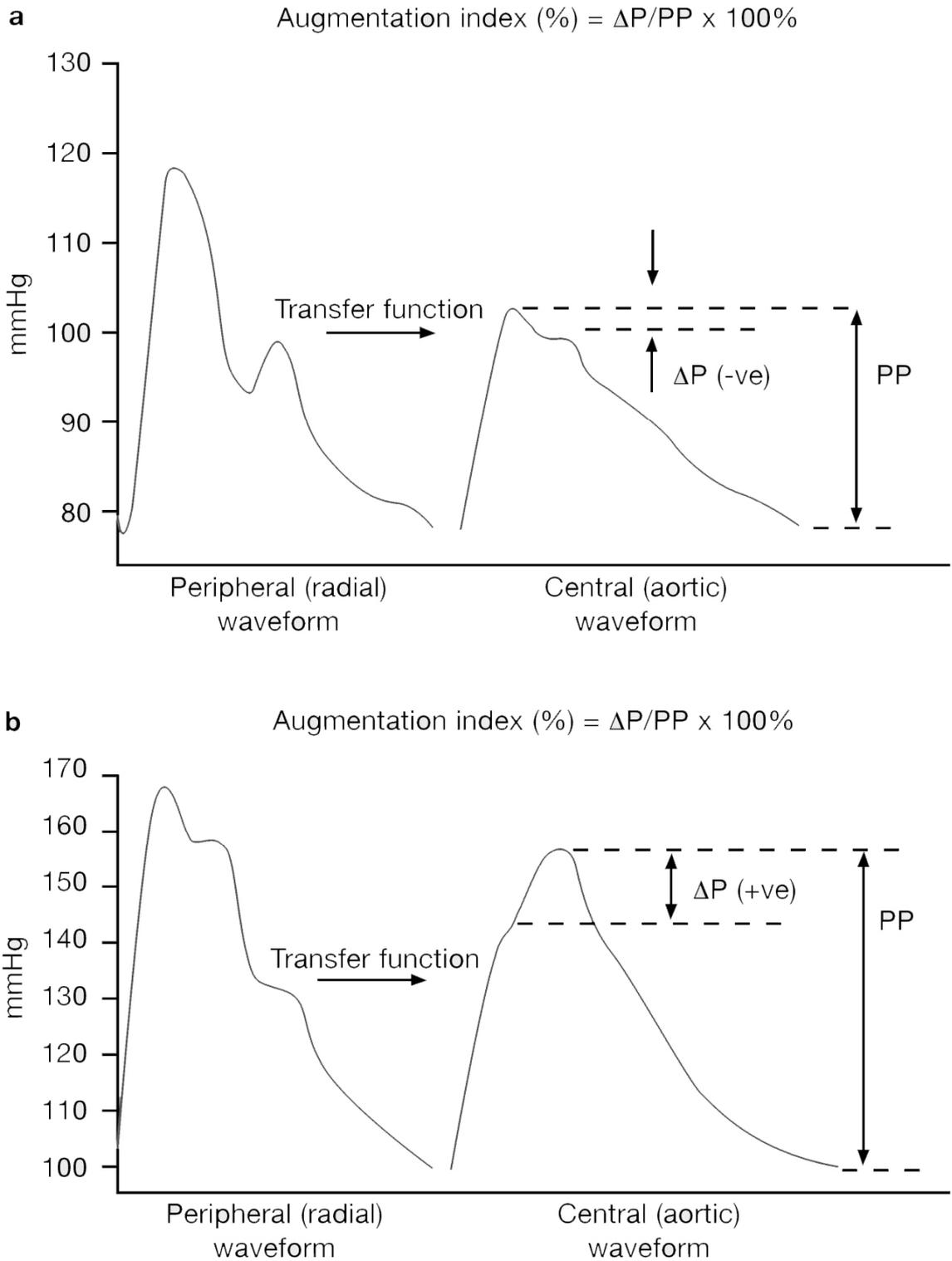
Key haemodynamic measures of cardiovascular function determined by the aortic waveform are the basic aortic blood pressures, augmentation index (AIx), the ejection duration and the subendocardial viability ratio (SEVR).

The augmentation pressure is the difference in pressure between the first systolic and second systolic peaks, due to the reflected wave ( $\Delta P = P_2 - P_1$ ). The pulse pressure is the difference between the systolic and diastolic pressures ( $PP = SBP - DBP$ ). The AIx provides an indirect measure of systemic arterial stiffness, and is calculated as the ratio of the augmentation pressure to the pulse pressure (**Figure 3**).

A second indirect measurement of arterial compliance is the time to wave reflection (Tr), which is calculated as the time from the wave foot to the systolic inflexion point (P1) (**Figure 4**). This represents the time taken for the reflected wave to reach the ascending aorta.

The ejection duration is the length of time from the beginning of the pulse to the closure of the aortic valve, as indicated by the dicrotic notch, or incisura (**Figure 4**). Lower ejection duration may be indicative of systolic dysfunction in heart failure patients. It has been suggested that two types of heart failure can be distinguished by determining whether the abnormality involves the expulsion of blood (systolic dysfunction) or the relaxation of the heart (diastolic dysfunction) (The Cardiac Society of Australia and New Zealand 2002). An ejection fraction of less than 40 per cent indicates systolic dysfunction, and an ejection fraction of greater than 40 per cent in a patient with unequivocal heart failure suggests diastolic dysfunction. However, these criteria are not definitive. Furthermore, in many patients with heart failure, these two conditions coexist.

The SEVR is reported to represent a ratio of the myocardial perfusion supply to demand. It evaluates the ability of the arterial system to meet the energy requirements of the heart. Using the ejection duration as determined from the peripheral pulse, the area under the systolic and diastolic portions of the aortic pressure wave curve are calculated. These two values are the tension time index (TTI), which correspond with the systolic portion of the pressure curve and the diastolic pressure time index (DPTI), corresponding with the diastolic portion of the pressure curve (**Figure 4**). The SEVR is calculated by applying the formula:  $DPTI/TTI$ . A decreased SEVR may indicate a decrease in myocardial perfusion.

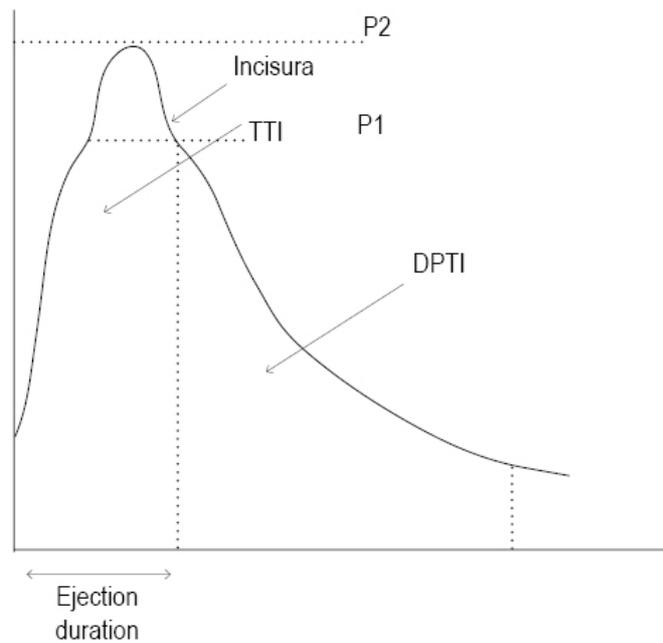


**Figure 3** Determination of the augmentation index from the aortic pressure waveform derived from a radial artery waveform using the SphygmoCor system

**Figure legend:** **a** Young normotensive subject; **b** Middle-aged hypertensive subject

Abbreviation: PP, pulse pressure.

From: Woodman RJ, Watts GF (2003). *Measuring arterial stiffness in diabetic patients*. © John Wiley & Sons Ltd. Reproduced with permission.



**Figure 4 Derived aortic pressure wave and pulse wave analysis parameters**

Abbreviations: DPTI, diastolic pressure time index; P1, systolic inflexion point; P2, systolic peak; TTI, tension time index.

From: *A Clinical Guide: Pulse Wave Analysis* (2005). [http://www.atcormedical.com/users\\_guide.html](http://www.atcormedical.com/users_guide.html)

Two measures of arterial compliance, PWV and carotid AIx, have been studied for their prognostic value. In hypertensive patients with baseline arterial stiffness, the carotid to femoral artery PWV has been found to be an independent predictor of both cardiovascular and all-cause mortality (Laurent et al 2001). This study demonstrated that a PWV of 5 m/s was significantly associated with all-cause mortality (odds ratio 2.14, 95% CI: [1.71, 2.67]) and cardiovascular mortality (odds ratio 2.35, 95% CI: [1.76, 3.14]).

Additionally, in people diagnosed with hypertension with baseline arterial stiffness but without a history of cardiovascular disease, PWV was demonstrated to be an independent predictor of the occurrence of cardiovascular events (Boutouyrie et al 2002). A PWV of 3.5 m/s was found to be significantly associated with the occurrence of coronary events (relative risk 1.42, 95% CI: [1.10, 1.82]) and cardiovascular events (relative risk 1.41, 95% CI: [1.17, 1.70]).

Aortic PWV has also been shown to be a predictor of mortality in patients with end-stage renal failure (Blacher et al 1999; London et al 2001; Safar et al 2002) and diabetes (Cruickshank et al 2002), both high risk populations for cardiovascular disease.

In patients with end-stage renal failure, carotid AIx has been found to be an independent predictor of both cardiovascular and all-cause mortality (London et al 2001). This study demonstrated that for each 10 per cent increase in carotid AIx, the odds ratio for both all-cause mortality (odds ratio 1.51, 95% CI: [1.23, 1.86]) and cardiovascular mortality (odds ratio 1.48, 95% CI: [1.16–1.90]) increased.

# SphygmoCor system

## The procedure

The SphygmoCor system is a non-invasive diagnostic technology that enables pulse wave analysis of the central, ascending aortic pressure wave.

The SphygmoCor system obtains peripheral arterial pressure waveforms by applying an arterial applanation tonometer to the wrist. The tonometer partially compresses the radial artery and records a pressure wave over several cardiac cycles. This pressure wave is calibrated to brachial cuff blood pressure measurements. The averaged peripheral waveform is then converted to an ascending aortic waveform using a generalised mathematical transfer function.

The waveform parameters obtained using the SphygmoCor system is useful in the diagnosis of cardiovascular diseases, as indicated in **Table 2**.

**Table 2 Diagnosis of cardiovascular diseases using the SphygmoCor system**

Condition	Surrogate marker	SphygmoCor measure
Hypertension	Increased aortic stiffness	Increase in AIx and a decrease in Tr
Isolated systolic hypertension of youth	N/A	Normal ascending aortic systolic pressure
Coronary artery disease	Decreased myocardial perfusion	Decrease in SEVR
Heart failure – systolic dysfunction	N/A	Decrease in ED
Heart failure – diastolic dysfunction	N/A	Increase in ED

Abbreviations: AIx, augmentation index; CAD, coronary artery disease; ED, ejection duration; HF, heart failure; SEVR, subendocardial viability ratio; Tr, time to wave reflection.

## Intended purpose

The intended purpose of the SphygmoCor system for aortic pulse wave analysis is in the assessment of three different cardiovascular conditions – hypertension, stable angina pectoris and heart failure. A cardiologist or specialist physician would use the SphygmoCor system following referral from a patient's general practitioner.

The SphygmoCor system's ability to differentiate individuals with isolated spurious systolic hypertension of youth or pseudohypertension, from those with true isolated systolic hypertension, was considered. These individuals will have a raised systolic pressure as determined by standard brachial blood pressure measurement (sphygmomanometry). However, the central aortic waveform measures determined by SphygmoCor may differentiate individuals with true hypertension from those without central hypertension. In addition, the SphygmoCor system's ability to identify individuals with white coat hypertension was considered.

The ability of the SphygmoCor system to influence therapeutic choices by providing information on the central aortic AIx was also considered. Individuals with a high AIx may benefit more from vasodilators than other antihypertensive agents.

The ability of the SphygmoCor system to determine the underlying cause of stable angina was also considered. If the SEVR is less than 1 at the time of onset of angina, this

suggests that the cause of the angina is not a significant coronary artery stenosis, and investigation by angiography may be alleviated or deferred. In addition, these patients might also benefit from treatment with drugs that increase diastolic duration relative to systolic duration (such as  $\beta$ -blockers) or long-acting nitrates that decrease aortic systolic pressure relative to diastolic pressure.

The intended purpose of the SphygmoCor system in patients with heart failure is in diagnosing systolic versus diastolic dysfunction and in directing therapy. Lower ejection duration is suggestive of more severe systolic dysfunction. These patients may also benefit from treatment with sublingual nitrate. A long ejection duration and isolated systolic hypertension are suggestive of diastolic dysfunction resulting from left ventricular hypertrophy. These patients might benefit from treatment with drugs that decrease the heart rate (eg,  $\beta$ -blockers).

## The reference standard

In order to investigate the accuracy of a new diagnostic test, the diagnosis made with the new test must be compared with the true disease status. The most accurate measure of the true disease status is the gold standard. Ideally, this should be used as the reference standard to assess a new diagnostic test. However, an alternative measure may be chosen as the reference standard (eg, if the gold standard is highly invasive).

Expert opinion within the Advisory Panel indicated that the assessment of hypertension is based on brachial blood pressure; therefore, the appropriate reference standard for the assessment of hypertension in this review is invasive brachial blood pressure measurement.

The reference standard for the assessment of angina in this review is coronary angiography.

The reference standard for the assessment of heart failure in this review is echocardiography.

## Existing tests

### Blood pressure measurement

Standard blood pressure measurement is performed by cuff sphygmomanometry. Individuals with hypertension are often further assessed by 24-hour ABPM. Details of these techniques are provided on page 3.

The SphygmoCor system would be used as an additional test in individuals with hypertension who are referred to a specialist. Therefore, current best conventional care (including the use of ABPM) is the main comparator for this indication. However, SphygmoCor may replace ABPM in some patients; therefore the replacement value of SphygmoCor by comparison with ABPM will also be reviewed.

## **Angiography**

Coronary angiography is the most commonly used diagnostic test for coronary artery disease.

Coronary angiography is an invasive procedure performed while the patient is under mild sedation. It involves inserting a catheter into a peripheral artery (usually the femoral artery) and advancing it as far as the heart and coronary arteries. A contrast agent is then injected and radiographic imaging provides real-time assessment of the patency of the coronary arteries.

The use of the SphygmoCor system for patients with stable angina pectoris may alleviate or defer the need for coronary angiography. As the SphygmoCor system may be used as an additional test (ie, incremental value of the SphygmoCor system), current best conventional care including the use of angiography is the main comparator for this indication. The replacement value of the SphygmoCor system by comparison with angiography will also be reviewed.

## **Electrocardiography**

Electrocardiography (ECG) is a non-invasive test that records the electrical activity of the heart. Electrical impulses generated by the heart are transmitted to the body's surface and detected by electrodes placed on the patient's chest and limbs. A graphic recording of the difference in voltage between the ECG leads is taken, depicting the waves of excitation. The different components of the standard ECG wave are designated by the letters P–T.

Characteristics of the electrocardiogram can be useful as myocardial defects or damage affect the propagation of the electrical signal through the heart.

ECG is a prior test in the clinical pathway and is therefore not considered to be a comparator for the SphygmoCor system in this assessment.

## **Stress testing**

In standard stress testing, ECGs are recorded while the patient is monitored during standard exercise. The test is continued until the patient achieves a target heart rate. This allows the effect of exercise on heart function to be determined. Abnormalities which arise only during periods of increased stress, such as stable angina, can be detected.

SphygmoCor may replace stress testing in some patients; therefore, the replacement value of SphygmoCor by comparison with stress testing will be reviewed.

## **Cardiac perfusion scan**

Cardiac perfusion scans are also referred to as radionucleotide stress testing. These scans involve the introduction of a radiopharmaceutical tracer into the patient to assess coronary blood flow at rest and following either exercise or pharmacologically induced stress. The tracer is tracked within the myocardium using a gamma camera with the data converted to tomographic images. This procedure as with standard ECG stress testing

allows for the detection of abnormalities that only arise during periods of increased stress (Mowatt et al 2004).

SphygmoCor may replace cardiac perfusion scans in some patients; therefore, the replacement value of SphygmoCor by comparison with cardiac perfusion scans will be reviewed.

## **Echocardiography**

Echocardiography is a non-invasive diagnostic technique that uses ultrasound to create a real-time image of the heart. The ultrasound images can demonstrate structural and functional abnormalities of the chambers, walls and valves of the heart. By assessing the thickness of the heart wall, echocardiography can assess the severity of left ventricular hypertrophy. Doppler imaging during echocardiography allows evaluation of cardiac output and therefore assessment of ejection fraction, valvular insufficiency and stenosis. Echocardiography can assist in classifying heart failure by providing information on the left ventricular ejection fraction (LVEF). Patients with systolic dysfunction have decreased LVEF, while those with diastolic dysfunction usually have preserved LVEF and no valvular abnormalities (Hunt et al 2001).

The use of the SphygmoCor system for patients with heart failure may alleviate or defer the need for echocardiography. As the SphygmoCor system may be used as an additional test (ie incremental value of the SphygmoCor system), current best conventional care including the use of echocardiography is the main comparator for this indication. The replacement value of the SphygmoCor system by comparison with echocardiography will also be reviewed.

## **Arterial compliance measures**

There are currently several non-invasive approaches used in the clinical estimation of arterial compliance. These methods are based on measuring various parameters that provide an indirect estimation of arterial stiffness.

Three basic approaches were reviewed by Pannier et al (2002), the most common of which is the measurement of pulse wave velocity. This approach estimates arterial stiffness based on the velocity at which the pressure wave travels between two points of known distance apart. The time difference between these two locations is known as the pulse transit time (PTT). The second approach, as utilised in the SphygmoCor system, is based on analysis of the arterial pressure waveform. These two methods both estimate arterial stiffness indirectly. The final option is a more direct estimation of arterial stiffness and involves measuring the artery diameter and distending pressure.

The Finapres<sup>®</sup>, Portapres<sup>®</sup> and Cardiopres<sup>®</sup> systems produced by TNO Biomedical Instrumentation provide similar capabilities to the SphygmoCor system. These devices allow non-invasive, continuous measurement of finger arterial pressure using an infrared plethysmograph system. The Portapres and Cardiopres monitors also enable ambulatory finger pressure measurement to be recorded. These three devices are packaged with Modelflow<sup>®</sup> and/or Beatscope in the accompanying software suite. These programs are used to analyse many cardiovascular haemodynamic parameters.

The Modelflow analysis is based on the Windkessel model and allows an aortic flow waveform (as opposed to the pressure waveform) to be calculated. Therefore, this analysis differs from that of the SphygmoCor system. The Beatscope software includes the Modelflow software, and is also able to determine the brachial pressure wave from the recorded finger pressure wave. This can be further combined with a brachial to aortic transfer function to provide a generalised finger to aortic transfer function (Bos et al 2000). This allows conversion of finger to aortic pressure waves in a manner substantially similar to the SphygmoCor system.

Measures of arterial compliance are not used as diagnostic tests in clinical practice, and therefore are not considered comparators for this assessment.

## **Hypertension**

### **Clinical need**

The AusDiab study, which included 11, 247 Australian people over the age of 25, indicated that 30.6 per cent of men and 27.1 per cent of women (28.8% overall) are living with hypertension (Dunstan et al 2001). This equates to approximately 3 million Australians over 25 years of age with high blood pressure (Australian Institute of Health and Welfare 2004a).

Hypertension is an asymptomatic condition. Although simple to diagnose with standard blood pressure sphygmomanometry, hypertension remains undiagnosed and untreated in many patients. For example, it was estimated that 13 per cent of the population over 25 years of age were undergoing treatment for hypertension, whilst a further 15 per cent had untreated hypertension (Briganti et al 2003).

### **Mortality and morbidity**

While hypertension is a common disorder, the aetiology of most diagnosed cases remains unknown. This is referred to as essential hypertension. Hypertension occurring secondary to another organic disease (eg, renal failure, endocrine disorders) is referred to as secondary hypertension.

This condition is a major financial burden on the Australian health care system. Blood pressure-lowering drugs available through the Pharmaceutical Benefits Scheme (PBS) cost \$755 million in 2000. This corresponds to 16.5 per cent of government and patient costs for prescription PBS drugs (Australian Institute of Health and Welfare 2004a).

In addition to its direct burden, hypertension is a major contributor to deaths due to all cardiovascular diseases. In 2002, cardiovascular disease was responsible for 38 per cent of all deaths and 7 per cent of all hospitalisations (Australian Institute of Health and Welfare 2004a).

## Current treatment

Changes in lifestyle according to the SNAP (smoking, nutrition, alcohol, physical activity) risk factors may result in adequate control of mild hypertension (Australian Heart Foundation, 2004). However, in patients with more severe hypertension and those whose hypertension is not adequately controlled by lifestyle changes, pharmacological management is recommended. There are five main classes of antihypertensive drugs: low-dose thiazide diuretics,  $\beta$ -blockers, angiotensin-converting enzyme inhibitors, calcium channel blockers and angiotensin II receptor antagonists. The initial drug choice will be affected by a number of factors such as cardiovascular risk profile, presence of co-existing conditions, concomitant medications, effectiveness and cost. However, most individuals using antihypertensive medications will require a combination of drugs to manage their condition effectively. Detailed guidelines are provided in the hypertension management guide for doctors (Australian Heart Foundation, 2004).

## Angina pectoris

### Clinical need

Angina pectoris is a symptom of a disease rather than a disease itself. It is a sensation of chest discomfort that occurs when the oxygen supply to the heart muscle does not match metabolic demand. This usually occurs due to the narrowing of a coronary artery, leading to a reduction in the blood flow to the heart (coronary artery disease). Chest discomfort generally manifests as a feeling of pressure or squeezing. However, an angina attack may be accompanied by additional symptoms, such as fainting, nausea and light-headedness. Other conditions that may contribute to the occurrence of angina include congestive heart failure and anaemia.

In stable angina, the discomfort usually develops after exercise, excitement or a large meal, and the symptoms are stable over a long period of time. Unstable angina presents with more pain that lasts longer and frequently occurs when the person is at rest. Angina is usually diagnosed by stress testing (see page 11).

### Mortality and morbidity

In 2002, coronary artery disease (CAD) was the largest single cause of death in the Australian population, and was responsible for 19.5 per cent of all deaths (Australian Institute of Health and Welfare 2004a).

The 2001 National Health Survey reported that 1.9 per cent of the surveyed population (corresponding to approximately 355,600 Australians) experienced some manifestation of CAD. The majority of these individuals reported having angina and approximately a third reported experiencing heart attack. High blood pressure is common (50.3%) in people with CAD (Australian Institute of Health and Welfare 2004a).

During 2001–2002 an estimated 48,700 CAD events occurred in Australia among 40–90 year olds. About half of these events were fatal (Australian Institute of Health and Welfare 2004a).

CAD was responsible for 26,063 deaths (13,855 men, 12,208 women) in 2002. This equates to an age-standardised mortality (for Australia) of 169.7/100,000 for men and 97.8/100,000 for women. The age-standardised mortality for all persons was 129.7/100,000 (Australian Institute of Health and Welfare 2004b).

In 2001–2002, it was estimated that CAD was responsible for 2.5 per cent of all hospitalisations in Australia and accounted for 36 per cent of all hospitalisations for cardiovascular disease (Australian Institute of Health and Welfare 2004a).

## **Current treatment**

The management of angina pectoris involves changes in lifestyle, including diet and exercise patterns, in order to reduce the symptoms and to prevent complications (Australian Institute of Health and Welfare: Mathur 2002). Long-term pharmacotherapy may also be used to control angina, using agents such as long-acting nitrates,  $\beta$ -blockers and calcium channel blockers. In addition, other medications may be used to treat hypertension, hyperlipidaemia and arrhythmias in order to control the underlying condition. In some angina patients, surgical revascularisation procedures may be necessary. Revascularisation procedures that are commonly performed are percutaneous transluminal coronary angioplasty (with or without stenting) and coronary artery bypass grafting.

## **Heart failure**

### **Clinical need**

Heart failure is a pathophysiological state in which there is an abnormality in the heart's ability to pump blood. Most cases of heart failure involve defects in myocardial (heart muscle) contraction. These may be caused by coronary atherosclerosis, valvular disease or congenital heart disease. The defects increase the haemodynamic burden on the ventricles, which leads to ventricular hypertrophy. This in turn increases the stress on the myocardium.

### **Mortality and morbidity**

In 2002, heart failure was the third largest cause of death from cardiovascular diseases in the Australian population, and was responsible for 2 per cent of all deaths (Australian Institute of Health and Welfare 2004a).

The estimated prevalence for heart failure in Australia is based on international data, approximately 300,000 Australians have chronic heart failure with a further 30,000 diagnosed each year (Australian Institute of Health and Welfare 2004a).

Heart failure was responsible for 2729 deaths in 2002 (1033 men, 1696 women). This equates to an age-standardised mortality (for Australia) of 14.1/100,000 for men and 13.0/100,000 for women. The age-standardised mortality in all persons was 13.5/100,000 (Australian Institute of Health and Welfare 2004b).

Heart failure is estimated to account for 0.7 per cent of all hospitalisations in Australia and 9.5 per cent of all hospitalisations for cardiovascular disease (Australian Institute of Health and Welfare 2004a).

## **Current treatment**

As with angina pectoris, the management of heart failure involves changes in lifestyle, such as diet and exercise patterns (Cardiac Society of Australia and New Zealand 2002). Heart failure also requires pharmacological management in order to decrease the effects of systolic and/or diastolic dysfunction, as well as treatment of any concomitant cardiovascular conditions. Patients with systolic heart failure should be treated with angiotensin-converting enzyme inhibitors and  $\beta$ -blockers. Patients with diastolic dysfunction may be treated with diuretics and/or nitrates; however, there is currently no strong evidence about whether or not these classes of drugs improve left ventricular function (Cardiac Society of Australia and New Zealand 2002). The American College of Cardiology and the American Heart Association recommend that patients with diastolic dysfunction be managed by controlling physiological factors such as blood pressure, heart rate, blood volume and ischaemia (Hunt et al 2001). Such management will have beneficial effects on ventricular relaxation. In some instances, the cause of heart failure may be known and surgery (such as valve replacement) may be an option. Healthy heart failure patients may require a heart transplant if they are not successfully managed by medication. Patients who cannot be managed by any therapies and are not candidates for heart transplantation are provided palliative care.

## **Potential impact of the test**

Increased accuracy in the assessment of hypertension, stable angina pectoris and heart failure could lead to a reduction in unnecessary treatments, as well as a reduction in the use of other invasive diagnostic procedures such as angiography.

The SphygmoCor system has the potential to provide the attending specialist with more detailed information on the cardiovascular status of a patient, which could lead to a change in management. This could result in better control of the patient's condition.

## **Marketing status of the device**

There are three registered SphygmoCor systems on the market; all are listed with the Therapeutic Goods Administration (TGA) on the Australian Registry of Therapeutic Goods under the listing number L64615. These systems are approved for marketing in Australia, the United States of America, the European Union, Korea, China and Japan.

The SphygmoCor *Px* system is a non-invasive method of measuring peripheral arterial pressure waveforms that, through the use of a validated transfer function, allows calculation of the ascending aortic pressure waveform. The SphygmoCor *Mx* system measures radial waveforms and provides a real-time method of deriving aortic pressure waveforms. The SphygmoCor *Vx* system utilises an ECG in combination with a tonometer to measure the pressure waveform sequentially at two peripheral artery sites. This system provides a means of analysing pulse wave velocity in addition to pressure wave analysis.

The US Food and Drug Administration (FDA) has determined that the SphygmoCor *Mx* system is substantially equivalent to a standard intravascular catheter attached to a conventional manometer and blood pressure monitor for obtaining calibrated central aortic blood pressure (K002742). It is stated that the system is designed for use with a conventional invasive radial artery blood pressure monitor in the hospital setting. The document states that the indication for use is “in those patients where information related to the ascending aortic pressure is desired, but in the opinion of the physician, the risks of cardiac catheterisation may outweigh the benefits”. This substantial equivalence is based on a study comparing the SphygmoCor *Mx* system using an invasive radial artery pressure transducer to pressures measured invasively with a catheter in the aorta (Pauca et al 2001). The FDA later determined that the SphygmoCor *Px* system was substantially equivalent to the SphygmoCor *Mx* system (K012487). This was based on a study comparing radial artery pressure waveforms determined invasively with a catheter to radial artery pressure waveforms determined by a non-invasive Millar tonometer.

## **Current reimbursement arrangement**

The SphygmoCor system is not currently funded under the Medicare Benefits Schedule (MBS).

# Approach to assessment

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## Research questions and clinical pathways

### Hypertension

The PPICO criteria (target population, prior tests, index test, comparator, outcomes) developed *a priori* for the evaluation of hypertension by the SphygmoCor system are given in **Table 3**.

**Table 3 PPICO criteria for the use of the SphygmoCor system in hypertension**

Population	Prior tests	Index test	Comparator	Outcomes
Patients with multiple measurements of elevated blood pressure, <i>and</i> <ul style="list-style-type: none"><li>• under 25 years of age (with elevated systolic blood pressure), <i>or</i></li><li>• uncontrolled by multiple (&gt; 2) antihypertensive medications (whether due to lack of effectiveness or non compliance), <i>or</i></li><li>• experiencing adverse effects of medication</li></ul>	Clinical history Physical examination Electrocardiography Biochemistry	SphygmoCor system	24-hour ambulatory blood pressure monitoring Current practice (including 24-hour ambulatory blood pressure monitoring)	Change in clinical outcomes Change in clinical management Diagnostic accuracy

The research question for this indication, based on these criteria, was as follows.

To what extent is the SphygmoCor system:

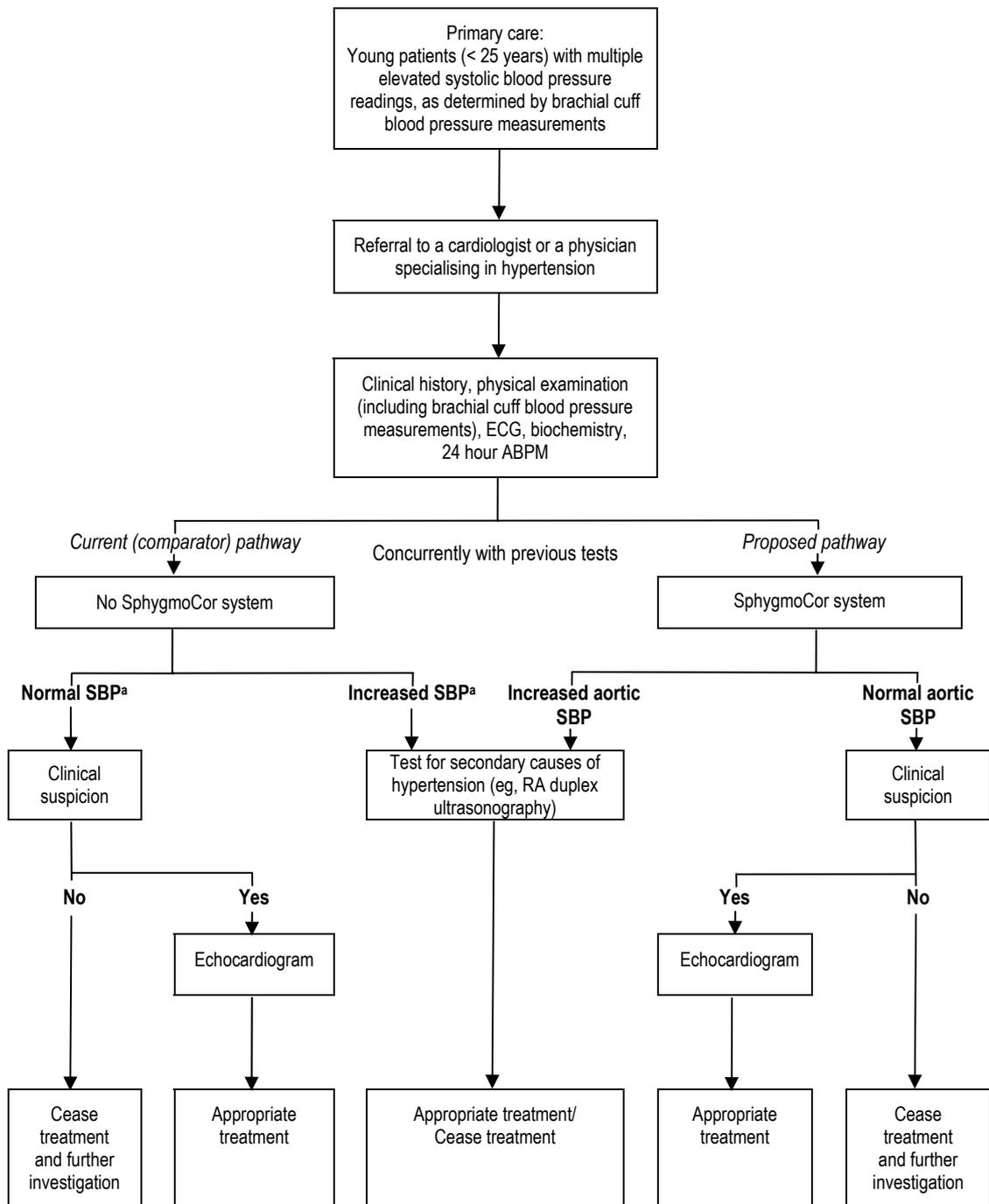
- effective (including diagnostic performance and the impact of diagnosis on changes in clinical management and changes in clinical outcomes)
- safe, and
- cost-effective

in the assessment of hypertension in patients with multiple measurements of elevated blood pressure who are:

- under 25 years of age (with elevated systolic blood pressure), or
- uncontrolled by multiple (more than two) antihypertensive medications (whether due to lack of effectiveness or non compliance), or
- experiencing adverse effects of medication

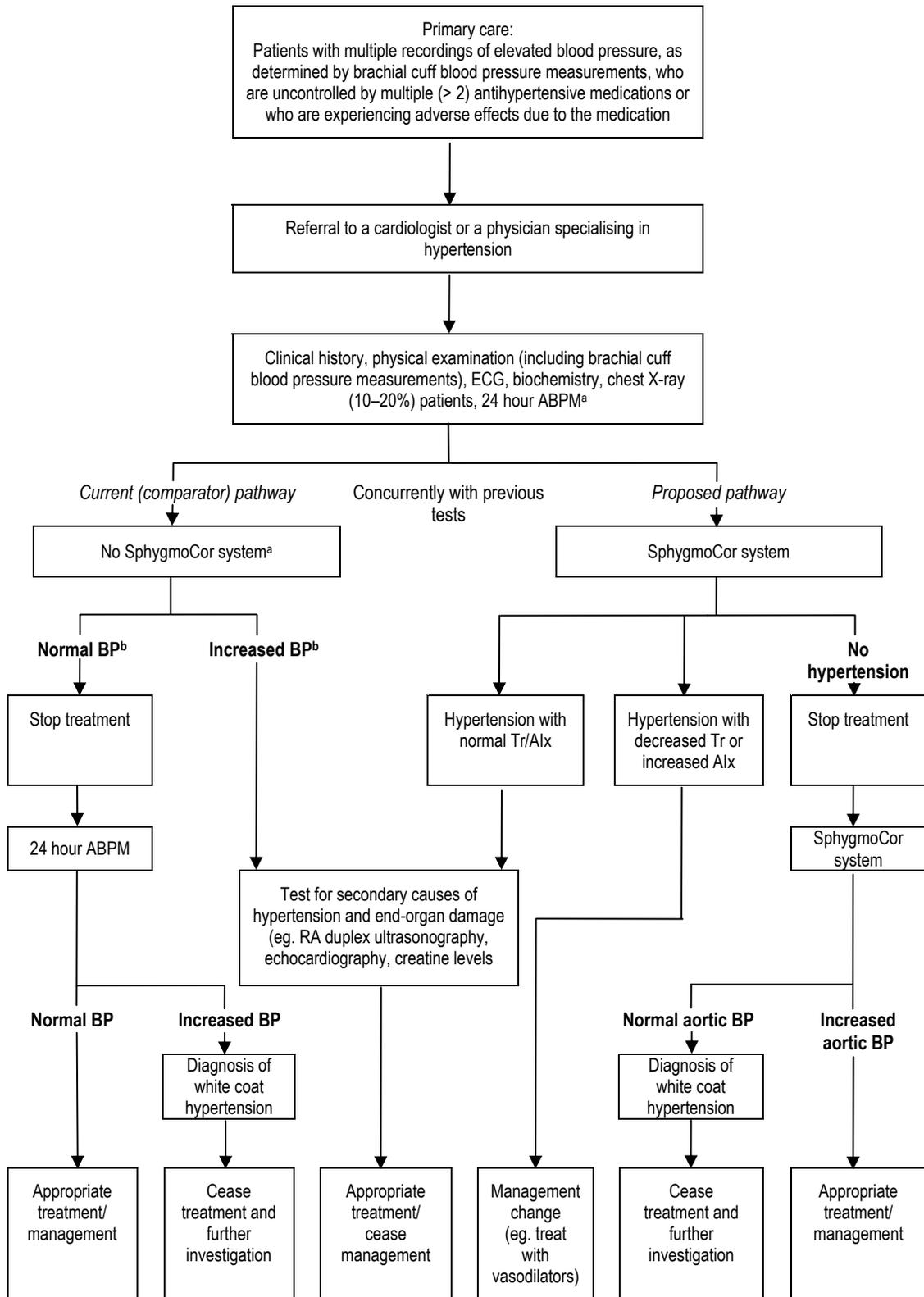
relative to 24-hour ambulatory blood pressure monitoring or relative to current best conventional care (clinical history, physical examination, electrocardiography, biochemical tests, 24-hour ambulatory blood pressure monitoring) in the absence of SphygmoCor?

The clinical pathway for the evaluation of patients referred with hypertension (less than 25 years of age) is shown in **Figure 5**. **Figure 6** illustrates the clinical pathway for the evaluation of patients referred with hypertension who are either uncontrolled by multiple medication (more than two drugs) or experience adverse effects of medication. Both of these flowcharts display the clinical management pathway to the point of patient diagnosis.



**Figure 5 Clinical pathway for the investigation of patients referred with hypertension (<25 years of age)**

Abbreviations: ABPM, ambulatory blood pressure monitoring; ECG, electrocardiogram; RA, renal artery; SBP, systolic blood pressure.  
<sup>a</sup> Blood pressure measurement based on 24 hour ABPM.



**Figure 6 Clinical pathway for the investigation of patients referred with hypertension who are uncontrolled by multiple medication (more than two drugs) or who are experiencing adverse effects of medication**

Abbreviations: ABPM, ambulatory blood pressure monitoring; Alx, augmentation index; BP, blood pressure; ECG, electrocardiogram; RA, renal artery; Tr, time to reflection.

<sup>a</sup> 24 hour ABPM would be an appropriate comparator in some patients.

<sup>b</sup> Blood pressure measurement based on 24 hour ABPM.

## Angina pectoris

The PPICO criteria developed *a priori* for the evaluation of angina pectoris by the SphygmoCor system are presented in **Table 4**.

**Table 4 PPICO criteria for the use of the SphygmoCor system in angina pectoris**

Population	Prior tests	Index test	Comparator	Outcomes
Patients with stable angina pectoris	Clinical history	SphygmoCor system	Angiography	Change in clinical outcomes
	Physical examination		Standard stress testing <sup>a</sup>	Change in clinical management
	Biochemistry		Current practice (including angiography and standard stress testing)	Diagnostic accuracy
	Electrocardiography			
	Echocardiography			

<sup>a</sup> For this assessment standard stress testing refers to ECG stress testing and cardiac perfusion scans.

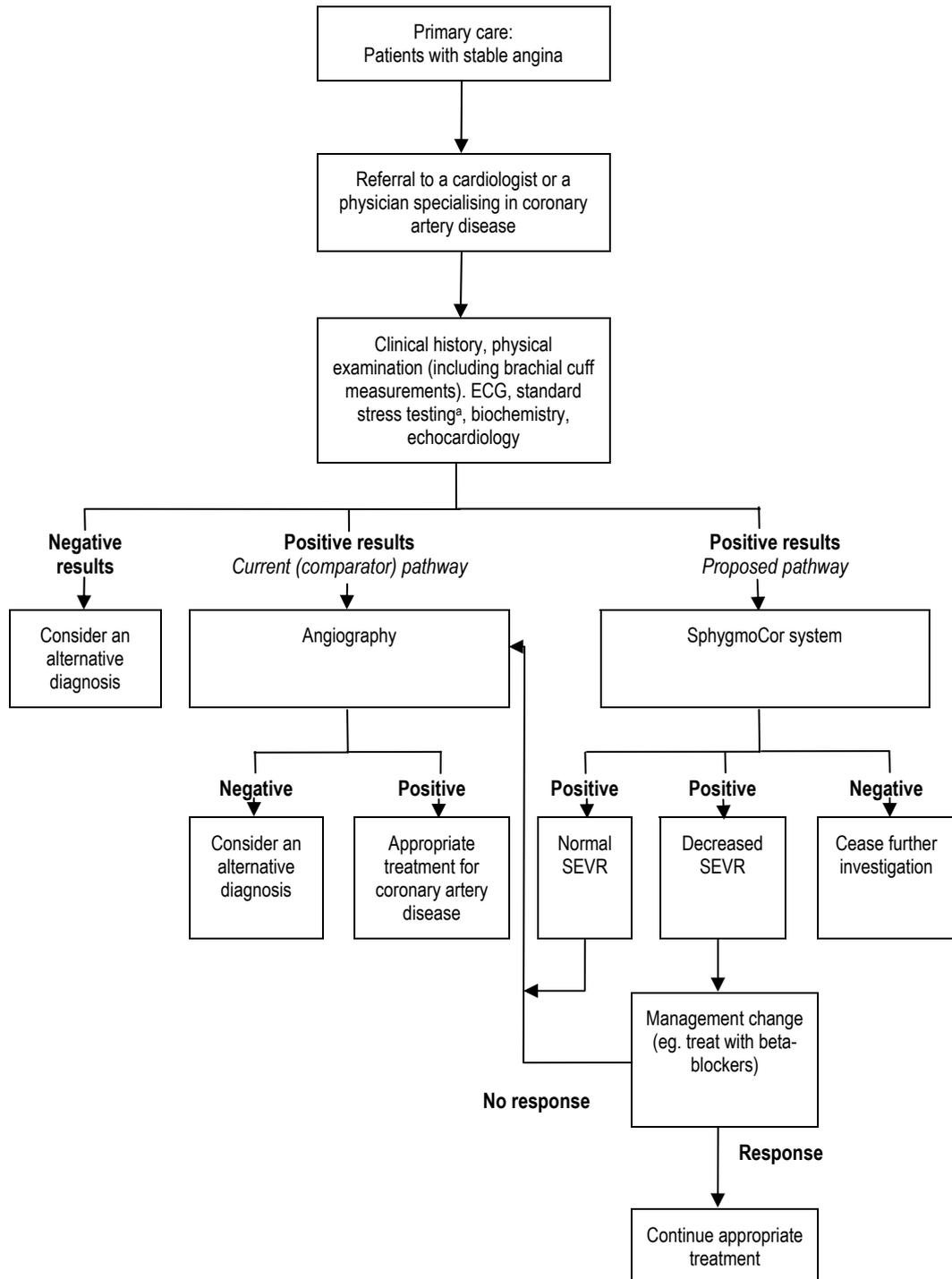
The research question for this indication, based on these criteria, was as follows.

To what extent is the SphygmoCor system:

- effective, (including diagnostic performance and the impact of diagnosis on changes in clinical management and changes in clinical outcomes)
- safe, and
- cost-effective

in the assessment of stable angina pectoris, relative to angiography, relative to standard stress testing (ECG stress test or cardiac perfusion scan) or relative to current best conventional care (clinical history, physical examination, electrocardiography, biochemical tests, echocardiography and angiography) in the absence of SphygmoCor?

The clinical pathway for the evaluation of patients referred with stable angina is shown in **Figure 7**. This displays the clinical management pathway to the point of patient diagnosis.



**Figure 7 Clinical pathway for the investigation of patients with stable angina**

Abbreviations: ECG, electrocardiography; SEVR, subendocardial viability ratio.

<sup>a</sup> Standard stress testing (ECG stress testing, cardiac perfusion scans) would be an appropriate comparator in some patients.

## Heart failure

The PPICO criteria developed *a priori* for the evaluation of heart failure by the SphygmoCor system are given in **Table 5**.

**Table 5 PPICO criteria for the use of the SphygmoCor system in heart failure**

Population	Prior tests	Index test	Comparator	Outcomes
Patients with heart failure	Clinical history	SphygmoCor system	Echocardiography	Change in clinical outcomes
	Physical examination		Current practice (including echocardiography)	Change in clinical management
	Electrocardiography			
	Biochemistry			Diagnostic accuracy

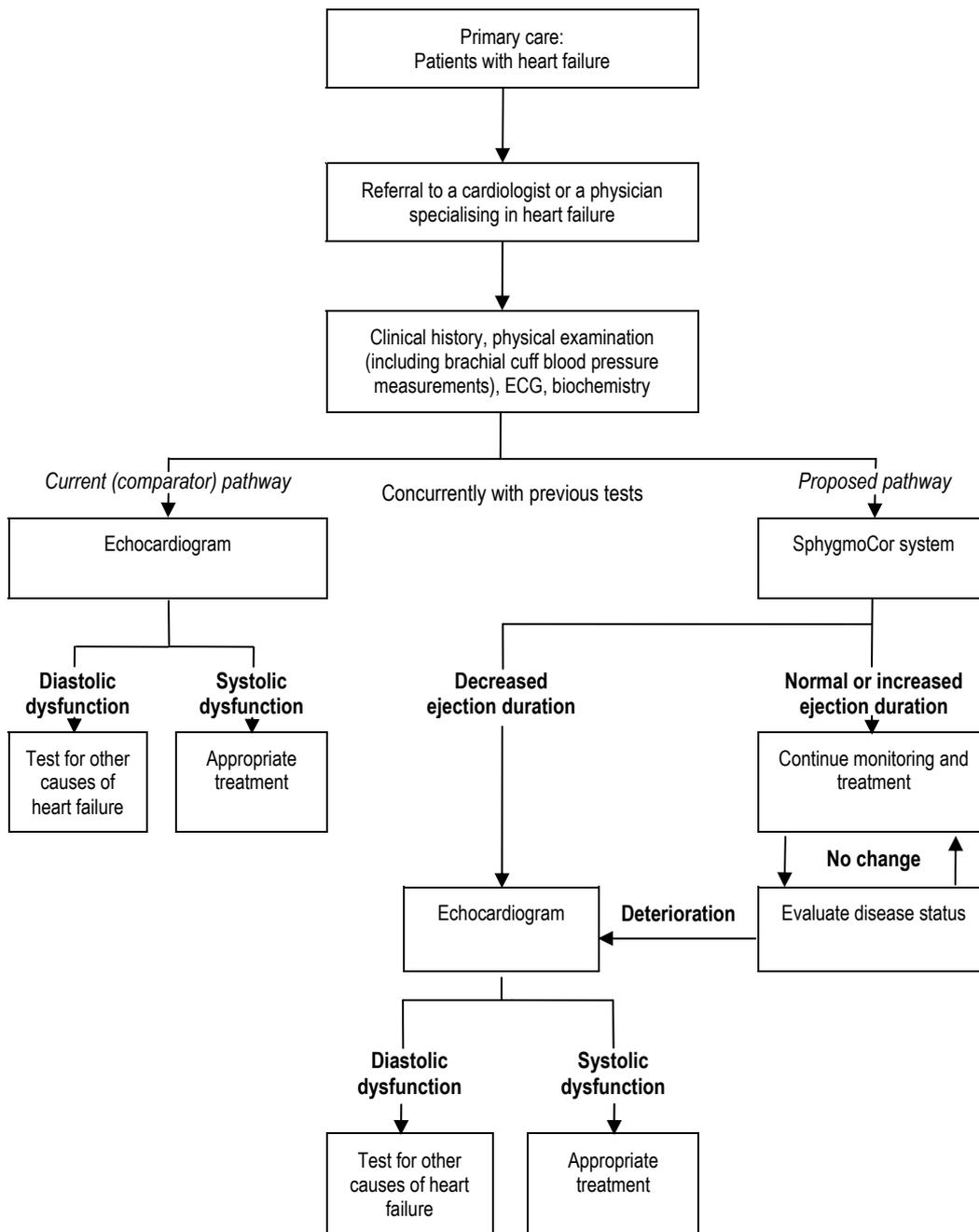
The research question for this indication, based on these criteria, was as follows.

To what extent is the SphygmoCor system:

- effective, (including diagnostic performance and the impact of diagnosis on changes in clinical management and changes in clinical outcomes)
- safe, and
- cost-effective

in the assessment of heart failure, relative to echocardiography, or relative to current best conventional care (clinical history, physical examination, ECG, biochemical tests and echocardiography) in the absence of SphygmoCor?

The clinical pathway for the evaluation of patients referred with heart failure is shown in **Figure 8**. This displays the clinical management pathway to the point of patient diagnosis.



**Figure 8 Clinical pathway for the investigation of patients with heart failure**

Abbreviation: ECG, electrocardiography.

## Assessment framework

### Types of evidence

A systematic review of the medical literature was undertaken to identify relevant studies on the value of the SphygmoCor system. Direct evidence regarding the impact of the SphygmoCor system on health outcomes was sought. However, the literature search was not limited by outcomes or comparators. Therefore, in the absence of studies providing direct evidence, indirect evidence regarding the impact of the SphygmoCor system on clinical management and diagnostic accuracy was assessed. This indirect evidence was then combined with the evaluation of treatment effectiveness to assess the impact of the SphygmoCor system on health outcomes.

### Review of the literature

The medical literature was searched to identify all relevant studies and reviews published to 2005. Searches were conducted in the primary databases indicated in **Table 6**.

### Search strategy

#### Primary databases

**Table 6 Electronic databases searched for the SphygmoCor hypertension review**

Database	Period covered/date searched
Medline	1966 to August, week 1, 2005
EMBASE	1980 to 2005, week 33
PreMedline	17 August 2005
Cochrane Library	Issue 3, 2005 (17 August 2005)

The search terms included the following (as determined from the PPICO criteria):

- SphygmoCor, Finapres, Portapres, Cardiopres, aortic transfer function, central arterial transfer function, carotid transfer function, aortic mathematical transformation, central arterial mathematical transformation, carotid mathematical transformation, aortic pulse wave analysis, central arterial pulse wave analysis, carotid pulse wave analysis, aortic augmentation index, central arterial augmentation index, carotid augmentation index, aortic arterial tonometry, central arterial tonometry, carotid arterial tonometry.

Complete details of the literature searches performed using the Medline and EMBASE databases are presented in **Appendix D**.

## **Secondary databases**

Searches of the following secondary databases/sites were also performed:

- British Columbia Office of Health Technology Assessment (Canada)
- Canadian Coordinating Office for Health Technology Assessment (CCOHTA)
- Centre for Health Economics (Monash University, Australia)
- Current Controlled Trials *meta*Register and International Standard Randomised Controlled Trial Number (ISRCTN) register
- Health Economics Research Group (Brunel University, UK)
- National Health and Medical Research Council Australia (publication list)
- National Health Service (UK)
- Scottish Intercollegiate Guideline Network (SIGN)
- Swedish Council on Technology Assessment in Health Care (SBU)
- The Blue Cross and Blue Shield Association (Technology Evaluation Centre).

Additional searches were conducted to source quality of life, epidemiological and economic information, as required.

## **Communication**

The corresponding authors of relevant publications were contacted for any additional data applicable to this review.

## Selection criteria

### Hypertension

**Table 7 Selection criteria for included studies for hypertension**

<b>Research question:</b> to what extent is the SphygmoCor system effective, safe and cost-effective in the assessment of patients with multiple measurements of elevated blood pressure and under 25 years of age, or uncontrolled by multiple (> 2) medications, or experiencing adverse effects of medication, relative to 24-hour blood pressure monitoring or relative to current best conventional care (clinical history, physical examination, electrocardiography, biochemical tests, 24-hour ambulatory blood pressure monitoring) in the absence of SphygmoCor?		
<b>Selection criteria</b>	<b>Inclusion</b>	<b>Exclusion</b>
Study design	Trials with ≥ 10 patients receiving SphygmoCor <sup>a</sup> and comparator <sup>b</sup>	Non-systematic reviews, letters and opinion pieces, non-human or <i>in vitro</i> studies
Population	Patient population with hypertension	Patient populations with diabetes or renal insufficiency <sup>c</sup>
<b>Prior tests</b>	<b>Not specified for inclusion or exclusion criteria</b>	
Index test	Use of the SphygmoCor system <sup>a</sup> for PWA as currently approved by the TGA	Use of only pulse wave velocity or flow waveform measures to estimate arterial compliance Peripheral-to-carotid or carotid-to-aortic general transfer functions
Reference standard	Transducer tipped catheter or fluid filled catheter with appropriate calibration <sup>d</sup>	
Comparator	24-hour ambulatory blood pressure monitoring Current best conventional care	
Outcomes	Diagnostic performance; effect on clinical management and/or health outcomes	

Abbreviations: PWA, pulse waveform analysis; TGA, Therapeutic Goods Administration.

<sup>a</sup> Or a substantially equivalent device deriving central aortic from peripheral pressure waveforms using a mathematical transfer function.

<sup>b</sup> Studies with less than 10 patients will be included for the assessment of adverse event and safety data.

<sup>c</sup> These populations were included but were not treated as separate patient populations

<sup>d</sup> This refers to brachial blood pressure for this assessment.

## Angina pectoris

**Table 8 Selection criteria for included studies for angina pectoris**

<b>Research question:</b> to what extent is the SphygmoCor system effective, safe and cost-effective in the assessment of stable angina, relative to angiography, relative to standard stress testing (ECG stress test or cardiac perfusion scan) or relative to current best conventional care (clinical history, physical examination, ECG, biochemical tests, echocardiography and angiography) in the absence of SphygmoCor?		
<b>Selection criteria</b>	<b>Inclusion</b>	<b>Exclusion</b>
Study design	Trials with $\geq 10$ patients receiving SphygmoCor <sup>a</sup> and comparator <sup>b</sup>	Non-systematic reviews, letters and opinion pieces, non-human or <i>in vitro</i> studies
Population	Patient population with stable angina pectoris	Patient populations with diabetes or renal insufficiency <sup>c</sup>
<b>Prior tests</b>	<b>Not specified for inclusion or exclusion criteria</b>	
Index test	Use of the SphygmoCor system <sup>a</sup> as currently approved by the TGA	Use of only pulse wave velocity or flow waveform measures to estimate arterial compliance Peripheral-to-carotid or carotid-to-aortic general transfer functions
Reference standard	Angiography	
Comparator	Angiography Standard stress testing <sup>d</sup>	
Outcomes	Current best conventional care Diagnostic performance; effect on clinical management and/or health outcomes	

Abbreviation: TGA, Therapeutic Goods Administration.

<sup>a</sup> Or a substantially equivalent device deriving central aortic from peripheral pressure waveforms using a mathematical transfer function.

<sup>b</sup> Studies with less than 10 patients will be included for the assessment of adverse event and safety data.

<sup>c</sup> These populations were included but were not treated as separate patient populations

<sup>d</sup> For this assessment standard stress testing refers to ECG stress testing and cardiac perfusion scans.

## Heart failure

**Table 9 Selection criteria for included studies for heart failure**

<b>Research question:</b> to what extent is the SphygmoCor system effective, safe and cost-effective in the assessment of heart failure, relative to echocardiography, or relative to current best conventional care (clinical history, physical examination, ECG, biochemical tests, echocardiography) in the absence of SphygmoCor?		
<b>Selection criteria</b>	<b>Inclusion</b>	<b>Exclusion</b>
Study design	Trials with $\geq 10$ patients receiving SphygmoCor <sup>a</sup> and comparator <sup>b</sup>	Non-systematic reviews, letters and opinion pieces, non-human or <i>in vitro</i> studies
Population	Patient population with heart failure	Patient populations with diabetes or renal insufficiency <sup>c</sup>
<b>Prior tests</b>	<b>Not specified for inclusion or exclusion criteria</b>	
Index test	Use of the SphygmoCor system <sup>a</sup> as currently approved by the TGA	Use of only pulse wave velocity or flow waveform measures to estimate arterial compliance Peripheral-to-carotid or carotid-to-aortic general transfer functions
Reference standard	Echocardiography	
Comparator	Echocardiography	
Outcomes	Current best conventional care Diagnostic performance; effect on clinical management and/or health outcomes	

Abbreviation: TGA, Therapeutic Goods Administration.

<sup>a</sup> Or a substantially equivalent device deriving central aortic from peripheral pressure waveforms using a mathematical transfer function.

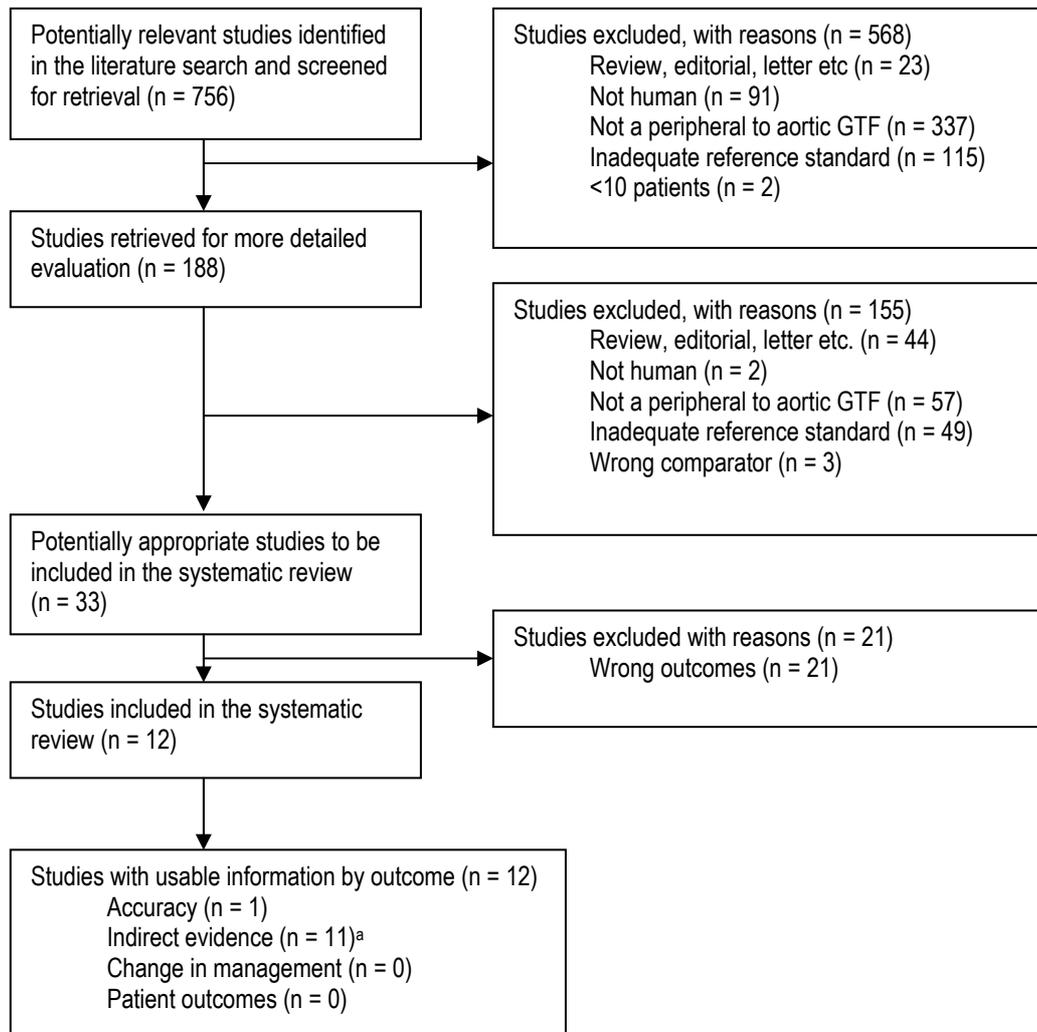
<sup>b</sup> Studies with less than 10 patients will be included for the assessment of adverse event and safety data.

<sup>c</sup> These populations were included but were not treated as separate patient populations

## Search results

A total of 756 non-duplicate citations relating to peripheral to aortic general transfer functions were identified. One diagnostic accuracy study was identified, while a further 11 studies were identified that provided indirect evidence of the use of the SphygmoCor system in the diagnosis of spurious systolic hypertension of youth (**Appendix E**).

### Quorum flowchart



**Figure 9 Summary of the process used to identify and select studies for the review**

Adapted from Moher et al (1999).

Abbreviation: GTF, general transfer function.

<sup>a</sup> Data presented in **Appendix E**.

## Data extraction

Data extraction was performed with the aid of a *pro forma* based on the following key parameters: trial characteristics, study population characteristics, tests used and outcomes reported. This follows the procedure for the collection of data outlined in the *Cochrane Reviewers' Handbook* (Alderson et al 2005).

## Statistical methods

### Methodological considerations

Evidence that the surrogate outcomes measured by SphygmoCor are risk factors for health outcomes provides only supplementary, theoretical information on the value of SphygmoCor. The evidence required to justify reimbursement under Medicare is direct comparative evidence of the value of SphygmoCor, when used in the relevant patient group. This should ideally be in the form of studies reporting effects on patient-centred health outcomes. Alternatively, evidence of greater diagnostic accuracy than the comparator, along with linked evidence of change in management and evidence that treatment will affect health outcomes is required.

In cases where a diagnostic test is to be used as an additional test in the clinical pathway, evidence for an effect on change in management is a key component of the evidence base. The most appropriate design for investigation of the effects on change in management is a pre-test post-test case series study. Where a pre-test management plan is not reported, the outcomes of a study are not truly change in patient management and the outcomes are likely to be biased.

The ideal design for a study of the comparative accuracy of diagnostic tests is that in which each test being compared is performed in all individuals, in a consecutive series of patients with a defined clinical presentation. The study should be an independent, blinded comparison with a valid reference standard (NHMRC 2005).

### Diagnostic performance

The evaluation of the accuracy of a new diagnostic test involves comparing the new test with its comparators and the best available proxy for the true disease status, the reference standard. The new diagnostic test and its comparators can be independently compared with the reference standard to assess sensitivity, specificity, accuracy, diagnostic odds ratio and likelihood ratios.

The sensitivity is the proportion of all patients with the disease who test positive. The specificity is the proportion of all patients without the disease who test negative. The accuracy of a test is the proportion of patients that the test correctly identified as positive or negative. The diagnostic odds ratio (DOR) is the odds of a positive test in patients with the disease compared to those without the disease. A DOR of 100 provides convincing evidence of the test's ability to discriminate the presence of absence of the disease.

The likelihood ratio of a positive test is the probability that a positive test will be found in a person with, as opposed to without, the condition; the likelihood ratio of a negative test is the probability that a negative test will be found in a person with, as opposed to without, the condition. A positive ratio of greater than 10 and a negative ratio less than 0.1 provide convincing diagnostic evidence. A positive likelihood ratio of greater than 5 and a negative likelihood ratio of less than 0.2 provide strong diagnostic evidence (Medical Services Advisory Committee, 2004). Bayes' theorem indicates that the post-test odds of disease equals the pre-test odds of disease times the likelihood ratio. Using this approach, the post-test probability of disease can be determined, for any given pre-test disease probability.

## Appraisal of the evidence

Appraisal of the evidence was conducted at three stages, as follows.

- Stage 1: Appraisal of the applicability and quality of individual studies included in the review.
- Stage 2: Appraisal of the precision, size and clinical importance of the primary outcomes used to determine the safety and effectiveness of the test.
- Stage 3: Integration of this evidence in order to draw conclusions about the net clinical benefit of the index test in the context of Australian clinical practice.

### Appraisal of the quality and applicability of individual studies

The quality and applicability of the included studies was assessed according to pre-specified criteria according to the study design (**Appendix C**).

### Ranking the evidence

Studies evaluating the direct impact of the test or treatment on patient outcomes were ranked according to the study design, using the levels of evidence designated by the National Health and Medical Research Council (NHMRC) shown in **Table 10**. However, there were no such trials identified in this review.

**Table 10 NHMRC levels of evidence for studies of effectiveness**

Level of evidence	Study design
I	Evidence obtained from a systematic review of level II studies
II	Evidence obtained from properly designed randomised controlled trials
III-1	Evidence obtained from well-designed pseudo-randomised controlled trials (alternate allocation or some other method)
III-2	Evidence obtained from comparative studies with concurrent controls: non-randomised experimental trials, cohort studies, case-control studies, or interrupted time series with a control group
III-3	Evidence obtained from comparative studies without concurrent controls: historical control studies, two or more single-arm studies, or interrupted time series without a parallel control group
IV	Evidence obtained from case series, either post-test or pre-test/post-test outcomes

Source: NHMRC, 2005.

Studies of diagnostic accuracy were ranked according to the NHMRC levels of evidence for diagnosis shown in **Table 11**.

**Table 11 NHMRC levels of evidence for diagnosis**

Level of evidence	Study design
I	Evidence obtained from a systematic review of level II studies
II	Evidence obtained from studies of test accuracy with: an independent blinded comparison with a valid reference standard, among consecutive patients with a defined clinical presentation
III-1	Evidence obtained from studies of test accuracy with: an independent blinded comparison with a valid reference standard, among non-consecutive patients with a defined clinical presentation
III-2	Evidence obtained from studies of test accuracy with: a comparison with reference standard that does not meet the criteria required for level II or III-1 evidence
III-3	Evidence obtained from diagnostic case-control studies
IV	Evidence obtained from studies of diagnostic yield (no reference standard)

Source: NHMRC, 2005.

Studies were also graded according to the pre-specified quality and applicability criteria, as shown in **Table 12**.

**Table 12 Grading system used to rank included studies**

Validity criteria	Description	Grading system
Appropriate comparison	Did the study evaluate a direct comparison of the index test strategy versus the comparator test strategy?	C1 direct comparison CX other comparison
Applicable population	Did the study evaluate the index test in a population that is representative of the subject characteristics (age and sex) and clinical setting (disease prevalence, disease severity, referral filter and sequence of tests) for the clinical indication of interest?	P1 applicable P2 limited P3 different population
Quality of study	Was the study designed and to avoid bias? High quality = no potential for bias based on pre-defined key quality criteria Medium quality = some potential for bias in areas other than those pre-specified as key criteria Poor quality = poor reference standard and/or potential for bias based on key pre-specified criteria	Q1 high quality Q2 medium quality Q3 poor reference standard poor quality or insufficient information

## Interpretation of the evidence

The evidence presented was interpreted using the dimensions of evidence defined by the NHMRC additional levels of evidence and grades for recommendations for developers of guidelines (NHMRC 2005).

These dimensions consider important aspects of the evidence supporting a diagnostic test and include three main domains:

- strength of the evidence, based on the effectiveness of study design, quality of evidence and statistical precision of the results of the included studies
- size of the effect
- relevance of the evidence.

Assessment of the size of the effect and relevance of the evidence are determined using expert clinical input.

## **Expert advice**

An Advisory Panel, whose membership included clinicians with particular expertise and interest in hypertensive disorders, was established to evaluate the evidence and provide advice to MSAC from a clinical perspective. In selecting members for Advisory Panels, MSAC's practice is to approach the appropriate medical colleges, specialist societies and associations and consumer bodies for nominees. Membership of the Advisory Panel is listed in **Appendix B**.

# Results of assessment

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Summaries of the design, population characteristics, test characteristics, outcomes and an assessment of quality and applicability for all studies used in the assessment are presented in **Appendix F**.

## Is it safe?

The SphygmoCor system is a non-invasive test and therefore there are no adverse events associated with its use. In contrast, some of the comparators do have associated risks and potential adverse events. However in the absence of evidence for equal or greater effectiveness of the SphygmoCor system relative to the comparator, evidence for comparative safety is inconsequential.

## Is it effective?

### Direct evidence

#### Does it improve health outcomes?

No studies were identified that compared the effectiveness of the use of the SphygmoCor system with current clinical practice in the absence of SphygmoCor in any patient population.

### Linked evidence

#### Is it accurate?

##### Hypertension

No studies were identified that reported the performance of systems deriving a central aortic waveform using a radial to aortic transfer function compared with invasive brachial blood pressure.

##### *White coat hypertension*

No studies were identified that reported the diagnostic accuracy of systems deriving a central aortic waveform using a radial to aortic transfer function compared to 24-hour ambulatory blood pressure monitoring in the diagnosis of white coat hypertension.

##### *Spurious systolic hypertension of youth*

No studies were identified that reported on the performance of radial to central transfer functions compared with a valid reference standard in the diagnosis of spurious systolic hypertension of youth. It is considered unlikely that such studies could be performed ethically in this population. Because of this situation an assessment of the technical accuracy of the SphygmoCor system was undertaken (**Appendix E**) to determine whether the SphygmoCor system could accurately estimate central blood pressure

compared with upper limb blood pressure measurement. The results of this assessment were linked to studies identifying the characteristics of spurious systolic hypertension of youth to determine if there is any indirect evidence to support the use of the SphygmoCor system.

### Angina pectoris

One study was identified that compared the association of a single pulse wave parameter (the augmentation index, AIx), as determined by SphygmoCor, with the presence of coronary artery disease, as determined by angiography. No studies were identified that provided information on the accuracy of other measures determined by SphygmoCor for the diagnosis of coronary artery disease (CAD).

The one identified study was designed to determine the relationship between CAD and AIx as determined by pulse waveform analysis (PWA) using the SphygmoCor system. The characteristics of this study are shown in **Table 13**. The authors reported the association between AIx and CAD as an odds ratio for the presence of disease, an outcome that does not provide information on diagnostic accuracy. However, enough raw data were presented to enable the calculation of diagnostic accuracy outcomes as required for inclusion in this review.

The applicability of this study to the population for the research question is limited because the study recruited only male patients and did not identify the prior tests that led them to be referred for angiography. The study is considered to be of medium quality, as it was not designed as a study of diagnostic accuracy. In addition, the study was potentially affected by selection bias. Nineteen per cent of patients initially recruited had inadequate pressure tracings using SphygmoCor and were not included in the final analysis. Therefore, the study population does not present a consecutive series of patients based on presenting symptoms.

**Table 13 Characteristics of the included SphygmoCor study**

Authors (year) and country	Study design	Patients (N)	SphygmoCor characteristics	Coronary angiography characteristics	Study quality
Weber (2004) Austria	Prospective, blinded study evaluating relationship between CAD and AIx	Consecutive male patients undergoing coronary angiography for the diagnosis or exclusion of CAD (465)	20 sequential radial artery waveforms acquired, normalisation to HR 75 bpm	Cathcor, Siemens, Judkins technique ≥ 3 highly experienced angiographers (> 5000 procedures each)	P2, Q2 <b>Applicability:</b> limited; males only, prior tests not reported <b>Quality:</b> medium; wrong outcomes reported; selection bias, test failures excluded

Abbreviations: bpm, beats per minute; CAD, coronary artery disease; AIx, augmentation index; HR, heart rate; PWA, pulse wave analysis.

**Table 14** shows the calculated AIx strata-specific likelihood ratios for the presence of CAD. These data demonstrate the likelihood of the presence of CAD for a patient with an AIx in the given range. The data indicate that there is an increase in the likelihood ratio of the test as the AIx increases; however, the range of likelihood ratios across the strata is small. The spread of the likelihood ratios across the range of AIx increased when data from patients aged up to 60 years only was examined. However, the applicability of this patient group is limited.

The highest likelihood ratio for this measure was in patients with an AIx of greater than or equal to 29 (likelihood ratio = 2.0 for all patients; likelihood ratio = 3.3 for patients aged less than 60 years). The lowest likelihood ratio was for an AIx of less than or equal to 9 per cent (likelihood ratio = 0.5 for all patients, likelihood ratio = 0.4 for patients aged less than 60 years). These likelihood ratios indicate that the test measure does not provide strong diagnostic accuracy information for the presence of CAD.

**Table 14 Likelihood ratios for the presence of coronary artery disease for different AIx values**

Aix (%)	Total patients (N)	CAD (n)	No CAD (n)	Likelihood ratio
<b>Total patient population</b>				
-24-9	73	56	17	0.48
10-21	145	125	20	0.91
22-28	131	117	14	1.21
29-60	116	108	8	1.96
<b>Patients &lt; 60 years</b>				
-17-9	35	20	15	0.40
10-21	52	37	15	0.74
22-28	55	45	10	1.36
29-60	48	44	4	3.32

Abbreviations: AIx, augmentation index; CAD, coronary artery disease.  
Source: Data calculated from Weber et al (2004).

**Table 15** shows the diagnostic accuracy of SphygmoCor for CAD, calculated by dichotomising the AIx according to different thresholds for diagnosis. These data confirm that the overall accuracy of the AIx for the diagnosis of CAD is low. A diagnostic odds ratio less than ten indicates that this test is poor at discriminating between the presence and absence of disease (Medical Services Advisory Committee, 2004). The highest sensitivity of the test measure for the diagnosis of CAD (86%) is seen when an AIx threshold for a positive test of greater than or equal to 10 is used. Given the potential management and clinical consequences of a negative test result in a patient with CAD (ie, delaying or avoiding angiography and, possibly, appropriate treatment), this sensitivity is considered to be low. In addition, at this threshold, the specificity of the test is very low (29% in the total population, 34% in patients aged less than 60 years). That is, a large proportion of patients without disease would be classified as having CAD.

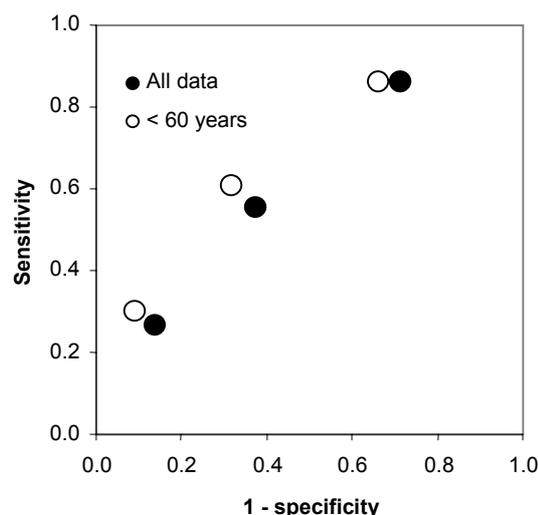
The value of the AIx test measure for the diagnosis of CAD in the study by (Weber et al 2004) is considered to be low.

**Table 15 Diagnostic accuracy of AIx for the presence of coronary artery disease, according to different thresholds**

AIx threshold (%)	Total patients (N)	Prevalence (%)	Sensitivity (%)	Specificity (%)	Likelihood ratio of +ve test	Likelihood ratio of -ve test	Diagnostic odds ratio
<b>Total patient population</b>							
≥ 10	465	87.3	86.2	28.8	1.211	0.479	2.530
≥ 22	465	87.3	55.4	62.7	1.486	0.711	2.091
≥ 29	465	87.3	26.6	86.4	1.962	0.849	2.310
<b>Patients &lt; 60 years</b>							
≥ 10	190	76.8	86.3	34.1	1.309	0.402	3.259
≥ 22	190	76.8	61.0	68.2	1.916	0.573	3.346
≥ 29	190	76.8	30.1	90.9	3.315	0.768	4.314

Abbreviations: AIx, augmentation index; N, total number of patients.  
Source: Data calculated from Weber et al (2004).

A summary of the diagnostic accuracy of the AIx is displayed as a receiver-operating characteristic (ROC) curve in **Figure 10**. The area under the curve (AUC) was 0.62 for all patients and 0.69 for patients less than 60 years of age. An AUC of 0.5 indicates a test that does not discriminate between those with and without disease. An AUC of 1.0 indicates a perfect test. This measure also indicates that the overall accuracy of AIx for the diagnosis of CAD is low.



**Figure 10 Receiver-operating characteristic (ROC) curve for diagnostic accuracy of AIx for coronary artery disease**

The positive and negative likelihood ratios calculated for each potential cut-off point can be combined with the prevalence of the disease to calculate the probability of disease given the test result. Application of the likelihood ratios for different AIx thresholds to calculate the probability of disease using the test results is shown in **Table 16**.

This shows, for example, that given a probability of disease of 87 per cent before conducting the test (as observed in the total study population), the test result does not provide significant additional information towards making a diagnosis. Using an AIx threshold of 29 per cent for definition of a positive test result, a positive test would increase the probability of disease in a patient from 87 per cent to 93 per cent. Similarly, a negative test result would decrease the probability of disease in the patient from 87 per cent to 85 per cent. Within the range of likelihood ratios calculated from different test

thresholds and either the total or younger subgroup patient population, the test results are not significantly informative in terms of the post-test probability of disease (Table 16).

**Table 16 Post-test probabilities of coronary artery disease based on test result for different AIx thresholds**

AIx thresholds	Pre-test probability	Positive test result		Negative test result	
		Likelihood ratio +ve	Post-test probability	Likelihood ratio -ve	Post-test probability
<b>Total patient population</b>					
≥ 10	0.873	1.211	0.893	0.479	0.767
≥ 22	0.873	1.486	0.911	0.711	0.830
≥ 29	0.873	1.962	0.931	0.849	0.854
<b>Patients &lt; 60 years</b>					
≥ 10	0.768	1.309	0.813	0.402	0.571
≥ 22	0.768	1.916	0.864	0.573	0.655
≥ 29	0.768	3.315	0.917	0.768	0.718

Abbreviation: AIx, augmentation index.  
Source: Calculated from Weber et al (2004).

On the basis of the available evidence, the AIx measure as determined by the SphygmoCor system is of limited value for the diagnosis of coronary artery disease.

### Heart failure

No studies comparing the accuracy of systems deriving a central aortic waveform using a radial to aortic transfer function to that of echocardiography in the classification of heart failure were identified.

Three studies comparing ejection duration (ED) as determined by a general transfer function and direct waveform measurement by catheterisation were identified (Fetics et al 1999; Hope et al 2003b; Hope et al 2004). However, no data were provided which allowed the calculation of the sensitivity and specificity of using ED to diagnose systolic or diastolic dysfunction. Therefore, these studies do not provide evidence of the accuracy of systems deriving a central aortic waveform using a radial to aortic transfer function in the diagnosis of the cause of heart failure.

### Does it change patient management?

No studies providing evidence for the effect of SphygmoCor system on patient management were identified.

### Does treatment change health outcomes?

In the absence of evidence for equivalent or greater diagnostic accuracy of SphygmoCor system relative to the comparator, or for the effectiveness of SphygmoCor on change in patient management, evidence for the effectiveness of treatment on health outcomes is not necessary for this assessment.

# Conclusions

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## Safety

The SphygmoCor system is a non-invasive test and therefore there are no adverse events associated with its use.

## Effectiveness

### Diagnostic accuracy

No studies providing evidence for the accuracy of the SphygmoCor system for the diagnosis of hypertension or white coat hypertension were identified.

No studies providing evidence for the accuracy of the SphygmoCor system for the diagnosis of spurious systolic hypertension of youth were identified. A more detailed analysis in this area did not yield persuasive evidence to support the ability of the SphygmoCor system to accurately diagnose spurious systolic hypertension of youth.

One single study was identified that provided evidence for diagnostic accuracy of the SphygmoCor system for the diagnosis of CAD. On the basis of this evidence, the AIX measure as determined by the SphygmoCor system was recognised as having limited value for the diagnosis of CAD.

No studies providing evidence for the diagnostic accuracy of the SphygmoCor system for the diagnosis of heart failure were identified.

### Impact on patient management

No studies providing evidence for the effect of SphygmoCor system on patient management were identified.

### Impact on health outcomes

There was no evidence of equivalent or improved diagnostic accuracy using the SphygmoCor system relative to using the comparators or of changes to patient management.

## Cost-effectiveness

As clinical effectiveness was not demonstrated, no cost-effectiveness analysis was undertaken.

MBS reimbursement was sought for the combined costs of the technology and the corresponding consultation. The technology and consultation costs of the device as supplied by the applicant are shown in **Table 17**. The applicant estimated the cost per service of the device would be \$105.26.

**Table 17 Direct and Indirect costs for use of the SphygmoCor system**

Description	Costs
<b>Technology costs</b>	
SphygmoCor system	\$22,000
Dedicated computer	\$3300
Consumables	\$1650 <sup>a</sup>
Service and support contract	\$1650 <sup>a</sup>
<b>Consultation costs</b>	
Physician	\$104.56 <sup>b</sup>
Clinic staff	\$104.56 <sup>b</sup>
Overheads	\$104.56 <sup>b</sup>

<sup>a</sup> These refer to yearly recurrent costs.

<sup>b</sup> These refer to hourly costs.

## **Recommendation**

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Since there is currently insufficient evidence pertaining to peripheral arterial tonometry with ascending aortic waveform analysis using the SphygmoCor system, MSAC recommended that public funding should not be supported at this time for this procedure.

– The Minister for Health and Ageing accepted this recommendation on 6 June 2006. –

# Appendix A MSAC terms of reference and membership

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MSAC's terms of reference are to:

- advise the Minister for Health and Ageing on the strength of evidence pertaining to new and emerging medical technologies and procedures in relation to their safety, accuracy, effectiveness and cost-effectiveness and under what circumstances public funding should be supported;
- advise the Minister for Health and Ageing on which new medical technologies and procedures should be funded on an interim basis to allow data to be assembled to determine their safety, effectiveness and cost-effectiveness;
- advise the Minister for Health and Ageing on references related either to new and/or existing medical technologies and procedures; and
- undertake health technology assessment work referred by the Australian Health Ministers' Advisory Council (AHMAC) and report its findings to AHMAC.

The membership of the MSAC comprises a mix of clinical expertise covering pathology, nuclear medicine, surgery, specialist medicine and general practice, plus clinical epidemiology and clinical trials, health economics, consumers, and health administration and planning:

<b>Member</b>	<b>Expertise or affiliation</b>
Dr Stephen Blamey (Chair)	general surgery
Associate Professor John Atherton	cardiology
Professor Syd Bell	pathology
Dr Michael Cleary	emergency medicine
Dr Paul Craft	clinical epidemiology and oncology
Dr Mary Turner	Australian Health Ministers' Advisory Council representative
Dr Kwun Fong	thoracic medicine
Dr Debra Graves	medical administrator
Professor Jane Hall	health economics
Professor John Horvath	Chief Medical Officer, Department of Health and Ageing
Ms Samantha Robertson	Department of Health and Ageing representative
Dr Terri Jackson	health economics
Professor Brendon Kearney	health administration and planning
Associate Professor Donald Perry-Keene	endocrinology
Dr Ray Kirk	health research
Dr David Gillespie	gastroenterology

**Member**

Dr Ewa Piejko

Ms Sheila Rimmer

Dr David Wood

Professor Frederick Khafagi

Professor Ken Thomson

Dr Douglas Travis

**Expertise or affiliation**

general practice

consumer health issues

orthopaedic surgery

nuclear medicine

radiology

urology

## Appendix B Advisory Panel

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### Advisory Panel for MSAC application 1079 SphygmoCor system

<b>Dr Michael Cleary</b> (Chair) FACEM, MHA Executive Director of Medical Services The Prince Charles Hospital Chermside, Qld	Member of MSAC
<b>Professor Leonard Arnolda</b> PhD, FRACP Department of Cardiology Royal Perth Hospital, Perth, WA	Nominated by the Cardiac Society of Australia and New Zealand
<b>Dr Peter Blombery</b> MBBS, PhD, FRACP, DDU Heart Centre Alfred Hospital, Prahran, Vic	Nominated by the Royal Australian College of Surgeons, Vascular Society
<b>Dr Rod Lane</b> MD, FRACS Vascular Surgeon, Castlecrag, NSW	Nominated by the Royal Australian College of Surgeons, Vascular Society
<b>Mr Richard McCluskey</b> Heart Support Australia Independent Consumer Representative Latham, ACT	Nominated by the Consumers' Health Forum
<b>Professor Jeffrey Robinson</b> FRCOG, FRACOG Department of Obstetrics & Gynaecology Women's and Children's Hospital University of Adelaide North Adelaide, SA	Member of MSAC

### Evaluators for MSAC application 1079

<b>Dr Suzanne Dyer</b> BSc(Hons) PhD GradCertPH	M-TAG Pty Ltd, A unit of IMS Health
<b>Mr Marc Bevan</b> BSc(Hons)	M-TAG Pty Ltd, A unit of IMS Health
<b>Ms Jolie Hutchinson</b> BSc(Hons)	M-TAG Pty Ltd, A unit of IMS Health

### Members from the Department of Health and Ageing for MSAC application 1079

<b>Ms Brenda Campe</b> Project Manager	Health Technology Section Medicare Benefits Branch
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## Appendix C Quality criteria

The quality of including studies was assessed based upon the following key quality criteria, according to study design.

Study design	Quality checklist
<b>Systematic review</b>	<ul style="list-style-type: none"> <li>Was the research question specified?</li> <li>Was the search strategy documented and adequate?</li> <li>Were the inclusion and exclusion criteria specified, appropriate and applied in an unbiased way?</li> <li>Was a quality assessment of included studies undertaken?</li> <li>Were the methods of the study appraisal reproducible?</li> <li>Were the characteristics and results of the individual studies randomised?</li> <li>Were the methods for pooling the data appropriate?</li> <li>Were sources of heterogeneity explored?</li> <li>Was a summary of the main results and precision estimates reported?</li> </ul>
<b>Studies evaluating effectiveness of an intervention on health outcomes</b>	
<b>Randomised controlled trial</b>	<ul style="list-style-type: none"> <li>Were the inclusion and exclusion criteria specified?</li> <li>Was the assignment to the treatment groups really random?</li> <li>Was the treatment allocation concealed from those responsible for recruiting subjects?</li> <li>Was there sufficient description about the distribution of prognostic factors for the treatment and control groups?</li> <li>Were the groups comparable at baseline for these factors?</li> <li>Were outcome assessors blinded to the treatment allocation?</li> <li>Were the care providers blinded?</li> <li>Were the subjects blinded?</li> <li>Were all randomised participants included in the analysis?</li> <li>Was a point estimates and measure of variability reported for the primary outcome?</li> </ul>
<b>Cohort study</b>	<ul style="list-style-type: none"> <li>Were subjects selected prospectively or retrospectively?</li> <li>Was the intervention reliably ascertained?</li> <li>Was there sufficient description about how the subjects were selected for the new intervention and comparison groups?</li> <li>Was there sufficient description about the distribution of prognostic factors for the new intervention and comparison groups? Were the groups comparable for these factors?</li> <li>Did the study adequately control for potential confounding factors in the design or analysis?</li> <li>Was the measurement of outcomes unbiased (ie blinded to treatment group and comparable across groups)?</li> <li>Was follow-up long enough for outcomes to occur?</li> <li>What proportion of the cohort was followed-up and were there exclusions from the analysis?</li> <li>Were drop-out rates and reasons for drop-out similar across intervention and unexposed groups?</li> </ul>
<b>Case-control study</b>	<ul style="list-style-type: none"> <li>Was there sufficient description about how subjects were defined and selected for the case and control groups?</li> <li>Was the disease state of the cases reliably assessed and validated?</li> <li>Were the controls randomly selected from the source of population of the cases?</li> <li>Was there sufficient description about the distribution of prognostic factors for the case and control groups? Were the groups comparable for these factors?</li> <li>Did the study adequately control for potential confounding factors in the design or analysis?</li> <li>Was the new intervention and other exposures assessed in the same way for cases and controls and kept blinded to case/control status?</li> <li>How was the response rate defined?</li> <li>Were the non-response rates and reasons for non-response the same in both groups?</li> <li>Was an appropriate statistical analysis used?</li> <li>If matching was used, is it possible that cases and controls were matched on factors related to the intervention that would compromise the analysis due to over-matching?</li> </ul>

<b>Case series</b>	<p>Was the study based on a representative sample selected from a relevant population?</p> <p>Were the criteria for inclusion and exclusion explicit?</p> <p>Did all subjects enter the survey at a similar point in their disease progression?</p> <p>Was follow-up long enough for important events to occur?</p> <p>Were the techniques used adequately described?</p> <p>Were outcomes assessed using objective criteria or was blinding used?</p> <p>If comparisons of sub-series were made, were there sufficient description of the series and the distribution of prognostic factors?</p>
<b>Study of diagnostic accuracy</b>	<p>Was the spectrum of patients representative of the patients who will receive the test in practice?</p> <p>Were selection criteria clearly described?</p> <p>Is the reference standard likely to correctly classify the target condition?</p> <p>Is the time period between reference standard and index test short enough to be reasonably sure that the target condition did not change between the two tests?</p> <p>Did the whole sample or a random selection of the sample, receive verification using a reference standard of diagnosis?</p> <p>Did patients receive the same reference standard regardless of the index test result?</p> <p>Was the reference standard independent of the index test (ie the index test did not form part of the reference standard)?</p> <p>Was the execution of the index test described in sufficient detail to permit replication of the test?</p> <p>Was the execution of the reference standard described in sufficient detail to permit its replication?</p> <p>Were the index test results interpreted without knowledge of the results of the reference standard?</p> <p>Were the reference standards results interpreted without knowledge of the results of the index test?</p> <p>Were the same clinical data available when test results were interpreted as would be available when the test is used in practice?</p> <p>Were uninterpretable/ intermediate test results reported?</p> <p>Were withdrawals from the study explained?</p>

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Sources: *How to use the evidence: assessment and application of scientific evidence*. NHMRC (2000).  
*Undertaking systematic reviews of research on effectiveness*. NHS Centre for Reviews and Dissemination, (2001).  
*QUADAS: a tool for the quality assessment of studies of diagnostic accuracy included in systematic reviews*. Whiting et al (2003).

## Appendix D Literature search strategies

### Medline search strategy

The search strategy used to identify relevant studies of the SphygmoCor system in Medline is presented in **Table 18**.

**Table 18 SphygmoCor system Medline search strategy (1966 to August Week 1, 2005)**

	Keywords / search history	Results
1.	(sphygmocor or sphygmo cor or sphygmocardiograph\$.ti,ab.	45
2.	(finapres\$1 or portapres\$1 or cardiopres\$1).ti,ab.	654
3.	transfer function\$1.ti,ab.	2704
4.	mathematical transformation.ti,ab.	62
5.	(pwa or pulse wave\$ analys#s).ti,ab.	257
6.	(augmentation index or aix or agix).ti,ab.	366
7.	(arterial adj3 tonomet\$).ti,ab.	143
8.	or/2-7	4038
9.	8 and exp aorta/	185
10.	8 and aort\$.ti,ab.	318
11.	8 and exp arteries/	546
12.	8 and arter\$.ti,ab.	1079
13.	11 or 12	1148
14.	13 and (central or aort\$ or carotid).ti,ab.	471
15.	or/1,9-10,14	504

### EMBASE search strategy

The search strategy used to identify relevant studies of the SphygmoCor system in EMBASE is presented in **Table 19**.

**Table 19 SphygmoCor system EMBASE search strategy (1980 to Week 33, 2005)**

	Keywords / search history	Results
1.	(sphygmocor or sphygmo cor or sphygmocardiograph\$.ti,ab.	44
2.	(finapres\$1 or portapres\$1 or cardiopres\$1).ti,ab,dv,tn.	712
3.	transfer function technique/	1
4.	transfer function\$1.ti,ab.	2500
5.	mathematical transformation.ti,ab.	65
6.	(pwa or pulse wave\$ analys#s).ti,ab.	239
7.	(augmentation index or aix or agix).ti,ab.	344
8.	(arterial adj3 tonomet\$).ti,ab.	157
9.	or/2-8	3863
10.	9 and exp aorta/	99
11.	9 and aorta pressure/	124
12.	9 and aort\$.ti,ab.	311
13.	9 and exp artery/	385
14.	9 and exp arterial pressure/	566
15.	9 and arter\$.ti,ab.	1083
16.	or/13-15	1143
17.	16 and (central or aort\$ or carotid).ti,ab.	452
18.	(sphygmocor or sphygmo cor).dv,tn.	43
19.	or/1,10-12,17-18	516

### PreMedline search strategy

The search strategy used to identify relevant studies of the SphygmoCor system in PreMedline is presented in **Table 20**.

**Table 20 SphygmoCor system PreMedline search strategy (17 August, 2005)**

	Keywords / search history	Results
1.	(sphygmocor or sphygmo cor or sphygmocardiograph\$.ti,ab.	6
2.	(finapres\$1 or portapres\$1 or cardiopres\$1).ti,ab.	16
3.	transfer function\$1.ti,ab.	173
4.	mathematical transformation.ti,ab.	5
5.	(pwa or pulse wave\$ analys#s).ti,ab.	16
6.	(augmentation index or aix or agix).ti,ab.	24
7.	(arterial adj3 tonomet\$).ti,ab.	4
8.	or/2-7	231
9.	8 and aort\$.ti,ab.	16
10.	8 and arter\$.ti,ab.	50
11.	10 and (central or aort\$ or carotid).ti,ab.	24
12.	or/1,9,11	28

### Cochrane Library search strategy

The search strategy used to identify relevant studies of the SphygmoCor system in the Cochrane Library is presented in **Table 21**.

**Table 21 SphygmoCor system Cochrane Library search strategy (Issue 3, 2005)**

	Keywords / search history	Results
1.	sphygmocor or "sphygmo cor" or sphygmocardiograph*	11
2.	finapres* or portapres* or cardiopres*	112
3.	"transfer function" or "transfer functions"	39
4.	"mathematical transformation"	3
5.	pwa or "pulse wave" or "pulse waveform"	197
6.	"augmentation index" or aix or agix	62
7.	arterial near tonomet*	26
8.	#2 or #3 or #4 or #5 or #6 or #7	382
9.	aorta [explode this MeSH term]	515
10.	aort*	3254
11.	#9 or #10	3255
12.	#8 and #11	88
13.	arteries [explode this MeSH term]	3947
14.	arter*	28893
15.	#13 or #14	29303
16.	central or aort* or carotid	458293
17.	#8 and #15 and #16	249
18.	#1 or #12 or #17	255

## Appendix E Spurious systolic hypertension of youth

One study conducted in an appropriate population was identified that reported measurement of waveform parameters using the SphygmoCor system, but without reference standard validation. This study did not report appropriate outcomes for the determination of diagnostic accuracy for spurious systolic hypertension of youth, but was included for review in the absence of any other studies. This study reported brachial cuff and derived aortic blood pressures in a population of young healthy individuals. The characteristics of this study are shown in **Table 22**.

**Table 22 Characteristics of the included study of the SphygmoCor system in spurious systolic hypertension of youth**

Author (year) Country	Study design	Population (N)	System and transfer function characteristics	Study quality
Mahmud & Feely (2003) Ireland	Case control study reporting SphygmoCor system measurements	Medical students aged $23 \pm 0.5$ years (174) Untreated male patients with essential hypertension (22)	SphygmoCor Radial artery waveforms acquired by applanation tomography calibrated to oscillometric cuff blood pressure (Omron model HEM-705 CP)	P1, Q3 <i>Applicability:</i> applicable <i>Quality:</i> low Wrong outcomes reported; no reference standard

Note: See also **Appendix G**

In a group of 174 healthy young medical students of both sexes, Mahmud and Feely (2003) identified a subset of subjects with elevated brachial systolic blood pressure (SBP). The characteristics of these individuals were compared with those who were normotensive or had essential hypertension. Those with spurious systolic hypertension of youth were all male. They also showed specific significant differences compared with the rest of the population: they were taller and had a decreased heart rate, all were non-smokers and all participated in active sports. The SphygmoCor system also identified differences in the derived aortic waveform characteristics between these three groups of subjects. The findings for males in these groups are shown in **Table 23**.

**Table 23 Characteristics of male subjects with spurious systolic hypertension of youth, normotension or essential hypertension**

Characteristic	Spurious systolic hypertension of youth Mean (SD) (n = 11)	Normotensive Mean (SD) (n = 76)	Essential hypertensive Mean (SD) (n = 22)
Age	23.5 (0.9)	22 (0.5)	24.8 (0.9)
Brachial SBP (mmHg)	147.3 (2) <sup>a</sup>	120.5 (1.3)	152 (2.6)
Brachial DBP (mmHg)	70 (2.2) <sup>b</sup>	69.9 (1)	96.5 (1.4)
Aortic SBP (mmHg)	115.9 (1) <sup>a,b</sup>	100 (1.5)	138.8 (2.5)
Aortic DBP (mmHg)	70 (2.5) <sup>b</sup>	71 (1)	98 (1.4)
Heart rate (bpm)	61.1 (1.9) <sup>a,b</sup>	68.3 (1.65)	72.4 (3.2)
PP amplification (mmHg)	31.4 (1.5) <sup>a,b</sup>	20.5 (1.36)	14 (0.9)
Alx (%)	-8 (3) <sup>b</sup>	-4 (1.4)	17 (1.9)
Tr (msec)	160 (8) <sup>b</sup>	152 (2.3)	142 (1.6)

Abbreviations: Alx, augmentation index; bpm, beats per minute; DBP, diastolic blood pressure; PP, pulse pressure; SBP, systolic blood pressure; SD, standard deviation; Tr, time to reflected wave.

<sup>a</sup> Compared with normotensive males ( $p < 0.01$ ).

<sup>b</sup> Compared with hypertensive males ( $p < 0.01$ ).

The pulse pressure amplification that occurred between the aorta and the brachial artery in the spurious systolic hypertension of youth subjects was significantly greater than in both normotensive and hypertensive men. The spurious systolic hypertension of youth subjects also had significantly lower aortic SBP and a longer time to wave reflection (Tr) than the essential hypertensive subjects. The AIX of the spurious systolic hypertension of youth subjects was also significantly less than that of the essential hypertensive population.

Six patients with spurious systolic hypertension of youth repeated the assessment two years later with an echocardiogram. At this time, these individuals still had elevated brachial SBP and normal aortic SBP as derived using the SphygmoCor system.

The data from Mahmud and Feely (2003) did not allow calculation of the sensitivity and specificity of the measures determined by SphygmoCor in the diagnosis of spurious systolic hypertension of youth.

Therefore, the best evidence for diagnosis of spurious systolic hypertension of youth was the accuracy of derived central aortic blood pressure measurements to estimate the aortic blood pressure (as determined by an transducer-tipped catheter or fluid filled catheter with appropriate calibration located in the aorta) compared to upper limb brachial/radial blood pressure.

Ten publications were identified that compared the measurement of derived central blood pressure with upper limb brachial/radial blood pressure in patients undergoing an invasive cardiovascular procedure. These publications were not in the appropriate patient group but were included for review in the absence of other suitable publications.

**Table 24 Characteristics of the included studies reporting the technical validation of measures of spurious systolic hypertension of youth**

Author (year) Country	Study design	Population (N)	System and transfer function	Comparator	Reference standard
Chen (1997) United States of America	Study evaluating mathematical transformation of radial waveform data	Non-consecutive patients undergoing cardiac catheterisation (20)	ARX Model TF Automated tonometer (Jentow, Colin Medical Instruments Corp) SBP calibration cuff BP; DBP and MAP calibration invasive aortic	Untransformed radial waveform (Jentow tonometer) SBP calibration automated cuff BP (Jentow, Colin Medical Instruments Corp); DBP and MAP calibration invasive aortic Oscillometric cuff	Micromanometer-tipped catheter (Millar SPC 320) or micromanometer-tipped multi-electrode pressure-volume catheter (Millar SSD 768) Ascending aorta
Cloud (2003) United Kingdom	Study evaluating the use of non-invasive calibration for the SphygmoCor system	Non-consecutive patients with anginal symptoms undergoing cardiac catheterisation (30)	SphygmoCor system RA tonometry Calibrated, brachial cuff BP	Automatic oscillometric digital BP monitor (Omron, HEM-70 CP) Omron HEM-70 CP system Recorded immediately before tonometry	Fluid-filled catheter (Cordis 6F pigtail catheter) Marquette Midas System 4000 Aortic location NR
Davies (2003) United Kingdom	Prospective study comparing calculated aortic with peripheral blood pressure measurements	Non-consecutive patients undergoing cardiac catheterisation with easily palpated left RA; excluding patients with a history of brachial or subclavian stenosis (28)	SphygmoCor system RA tonometry Calibration, brachial cuff BP	Oscillometric brachial cuff (Omron HEM-705 CP) system Recorded immediately before tonometry	Catheter with Transpac IV monitoring kit (Abbott Critical Care Systems, Abbott Laboratories) <sup>a</sup> Siemens Recor V6 system Ascending aorta
Fetics (1999) United States of America	Study evaluating mathematical transformation of radial waveform data	Non-consecutive patients undergoing diagnostic cardiac catheterisation (39)	ARX Model Automated tonometer (Jentow, Colin Medical Instruments Corp), analogue filtered at 30 Hz SBP calibration cuff BP; DBP and MAP calibration invasive aortic	Untransformed radial waveform (Jentow tonometer) SBP calibration, automated cuff BP (Jentow, Colin Medical Instruments Corp); DBP and MAP calibration, invasive aortic	Micromanometer-tipped catheter (Millar SPC 320) Ascending aorta

Author (year) Country	Study design	Population (N)	System and transfer function	Comparator	Reference standard
Hope (2003b) Australia	Study investigating the accuracy of general transfer functions	Non-consecutive patients undergoing coronary angiography or percutaneous coronary intervention; excluding patients with no radial artery; significant obstructive atherosclerosis disease of the upper limb; procedural complication affecting upper limb BP (71)	Fourier transformation RA tonometry (Millar Mikro-Tip, Millar Instruments) SBP calibration cuff BP; DBP and MAP calibration invasive aortic	Untransformed radial waveform (Millar Mikro-Tip tonometer) SBP calibration automated cuff BP; DBP and MAP calibration invasive aortic	Low compliance fluid-filled catheter Ascending aorta
Hope (2004) Australia	Prospective, study assessing the effect of non-invasive calibration of radial waveforms	Non-consecutive patients undergoing coronary angiography or percutaneous coronary intervention; excluding patients with symptomatic or clinical evidence of peripheral vascular disease affecting the brachial artery (42)	Fourier transformation RA tonometry (Millar Mikro-Tip, Millar Instruments) SBP calibration cuff BP; DBP and MAP calibration invasive aortic, or Calibrated, brachial cuff BP	Dinamap XL 9301 Portable Monitor and Adult Cuff The mean of three BP measurements was used in the analysis	Transducer-tipped catheter (Millar Mikro-Tip catheter transducer)
Pauca (2001) United States of America and Australia	Prospective, study evaluating the SphygmoCor system before and after intensive vasodilation	Consecutive patients undergoing cardiac surgery; excluding patients with haemodynamically significant brachial, subclavian or innominate stenosis (62)	SphygmoCor system Radial artery waveforms obtained by a catheter attached to a Transpac manometer (Abbott Critical Care Systems, Abbott Laboratories)	Catheter attached to a Transpac manometer (Abbott Critical Care Systems, Abbott Laboratories) Frequency response > 20 Hz and a dampening coefficient > 0.3	Fluid-filled catheter attached to a Transpac manometer (Abbott Critical Care Systems, Abbott Laboratories) Ascending aorta Frequency response > 20 Hz and a dampening coefficient > 0.3
Smulyan (2003) United States of America and France	Study of the clinical utility of calculated aortic waveforms	Non-consecutive patients undergoing coronary angiography for the diagnosis/exclusion or assessment of the severity of coronary heart disease (50)	SphygmoCor system Calibrated, brachial cuff BP	Oscillometric brachial artery cuff system (Colin Medical Instruments Corp) Recorded immediately after tonometry Oscillometric blood pressure system calibrated with Cuff Link (DNI Nevada Inc.) calibration unit	6F micromanometer-tipped catheter (Millar SPC 350) Ascending and/or proximal descending aorta Pressure amplifiers were unsuitable for the measurement of AIx or ED

Author (year) Country	Study design	Population (N)	System and transfer function	Comparator	Reference standard
Soderstrom (2002) Sweden	Study evaluating the validity of the SphygmoCor system	Non-consecutive patients with angina pectoris scheduled for PTCA	SphygmoCor system Radial artery waveforms obtained by a catheter attached to an external pressure transducer (Peter van Berg) MAP calibration to radial	Radial artery BP measurements obtained by a catheter attached to an external pressure transducer (Peter van Berg) Natural frequency 25 Hz and a damping coefficient of 0.35–0.5	8F Cordis catheter-tip manometer with a pressure interface (Sentron) Flat frequency response up to 180 Hz Ascending aorta Aortic MAP set identically to radial MAP
Takazawa (1996) NR	Study evaluation the validity of a transfer function between ascending aorta and radial artery	Non-consecutive patients undergoing diagnostic cardiac catheterisation (20)	Unknown Automated tonometer (Jentow 7000, Colin Medical Instruments Corp) Calibrated, brachial cuff BP	Oscillometric cuff blood pressure (Colin Medical Instruments Corp, JENTOW 7000 tonometer)	Catheter (Millar Mikro-Tip catheter) Ascending aorta

Abbreviations: BP, blood pressure; DBP, diastolic blood pressure; IV, intravenous; MAP, mean arterial pressure; NR, not reported; PTCA, percutaneous transluminal coronary angioplasty; RA, radial artery; SBP, systolic blood pressure.

\* It is unclear from the reported study whether a transducer-tipped or fluid-filled catheter was used, however Hope et al (2003a) have identified this study as using a transducer-tipped catheter.

The same case series of patients were dealt with in two publications (Chen et al 1997; Fetics et al 1999). Only the study by Fetics et al (1999) was included in the review since it was the most recent publication dealing with this series of patients. The characteristics of the included studies are shown in **Table 24**. Six studies clearly reported the device being used for non-invasive brachial blood pressure measurement. All of these studies employed automated oscillatory devices in their methodologies.

The information reported in the studies is insufficient to evaluate the details of the catheters used as reference standards to determine if calibration or drift may have biased the results (Nichols et al 2005).

Five studies validated measures derived by the peripheral to central aortic transfer function with a transducer-tipped catheter (Fetics et al 1999; Hope et al 2004; Smulyan et al 2003; Soderstrom et al 2002; Takazawa et al 1996). A further study was unclear on the nature of the catheter, however, Hope et al (2003a) identified the paper by Davies et al (2003) as using a transducer-tipped catheter. Three studies used a fluid-filled catheter (Cloud et al 2003; Hope et al 2003b; Pauca et al 2001).

Four studies obtained the radial artery waveform data non-invasively with an applanation tonometer and calibrated the waveforms non-invasively (Cloud et al 2003; Davies et al 2003; Smulyan et al 2003; Takazawa et al 1996). Another two studies obtained the radial artery waveform data non-invasively but calibrated a component of this waveform using aortic pressures that were determined invasively (Fetics et al 1999; Hope et al 2003b). One additional study reported the use of applanation tonometry calibrated non-invasively or with a component of the radial waveform calibrated using aortic pressures that were determined invasively (Hope et al 2004). Of these seven studies, three clearly identified the transfer function used as the SphygmoCor system (Cloud et al 2003; Davies et al 2003; Smulyan et al 2003). Five of these studies used a transducer-tipped catheter (Davies et al 2003; Fetics et al 1999; Hope et al 2004; Smulyan et al 2003; Takazawa et al 1996). Two used a fluid-filled catheter to measure central aortic blood pressure directly (Cloud et al 2003; Hope et al 2003b).

Two studies reported obtaining the radial artery waveform by using invasive catheter measurement (Pauca et al 2001; Soderstrom et al 2002). Of these, one used a transducer-tipped catheter (Soderstrom et al 2002) and one used a fluid-filled catheter to measure central aortic blood pressure directly (Pauca et al 2001). These studies do not reflect how the SphygmoCor system would be used in clinical practice and therefore, have poor applicability.

The accuracy of the systems using a peripheral to central waveform transfer function when determining aortic blood pressure compared with upper limb blood pressure measurement is shown in **Table 25**. The table shows the difference between directly measured aortic blood pressure and the derived or peripheral blood pressures. These differences are calculated by subtracting the directly measured aortic pressures from derived or peripheral pressures. Thus, a positive value represents an overestimation by the test methods.

**Table 25 Comparison of accuracy of derived aortic and upper limb blood pressure measurement using direct measurement of aortic blood pressure with a transducer-tipped catheter**

Author (year)	Population (N)	System, transfer function	Direct aortic BP (mmHg) (SD)	Derived – direct aortic BP Mean difference (SD)		Peripheral – direct aortic BP Mean difference (SD)		
				SBP (mmHg)	DBP (mmHg)	SBP (mmHg)	DBP (mmHg)	PP (mmHg)
<b>Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b>								
Davies (2003)	Patients undergoing CC (28)	SphygmoCor Calibration cuff BP	SBP: 134 (28) DBP: 68 (12)	-7.2 (10.1)	12.2 (7.1)	3.4 (10.5)	11.7 (7.2)	-
Hope (2004)	Patients undergoing CA or percutaneous coronary intervention (42)	Custom TF <sup>a</sup> Calibration cuff BP	SBP: 130 (23) DBP: 67 (9)	-7 (12)	-3 (6)	5 (16)	5 (7)	0 (16)
Smulyan (2003)	Patients undergoing CA for CHD (50)	SphygmoCor Calibration cuff BP	SBP: 137.2 DBP: 74.4	-1.5 (11.3)	10.4 (12.7)	10.9 (12.7)	8.9 (12.9)	2.5 (16.3)
Takazawa (1996) <sup>b</sup>	Patients undergoing CC (20)	NR, likely SphygmoCor Calibration cuff BP	SBP: 140 (21) DBP: 73 (11)	-11.0 <sup>b</sup>	8.0 <sup>b</sup>	-2.0 <sup>b</sup>	5.0 <sup>b</sup>	-
<b>Index test using radial artery waveform obtained by arterial tonometry and not applicable calibration</b>								
Fetics (1999)	Patients undergoing CC (39)	ARX SBP calibration cuff BP; DBP and MAP calibration invasive aortic	-	0.4 (2.9)	-	13.9 (6.7)	-	-
Hope (2004)	Patients undergoing CA or percutaneous coronary intervention (42)	Custom TF <sup>a</sup> SBP calibration cuff BP; DBP and MAP calibration invasive aortic	SBP: 130 (23) DBP: 67 (9)	1 (8)	-9 (3)	5 (16) <sup>c</sup> 15 (11)	5 (7) <sup>c</sup> 0 (0)	0 (16) <sup>c</sup> 15 (11)
<b>Index test using radial artery waveform obtained by radial artery catheterisation</b>								
Soderstrom (2002)	Patients with angina pectoris (12)	SphygmoCor Invasively determined peripheral waveform	SBP: 168 (SE 4) DBP: 71 (SE 2)	-8 (2)	4 (2)	1 (5)	1 (2)	-

Abbreviations: Aix, augmentation index; BP, blood pressure; CA, coronary angiography; CC, cardiac catheterisation; CHD, coronary heart disease; DBP, diastolic blood pressure; MAP, mean arterial pressure; NR, not reported; PP, pulse pressure; SBP, systolic blood pressure; SD, standard deviation; TF, transfer function.

Note: Differences are calculated by subtracting the directly measured aortic pressures from derived or peripheral pressures. Thus, a positive value represents an overestimation by the test.

<sup>a</sup> Custom transfer function developed at the Cardiovascular Research Centre, Monash Medical Centre and Monash University.

<sup>b</sup> These values were calculated from the findings reported in Takazawa (1996).

<sup>c</sup> Brachial cuff blood pressure measurements.

**Table 26 Comparison of accuracy of derived aortic and upper limb blood pressure measurement using direct measurement of aortic blood pressure with a fluid-filled catheter**

Author (year)	Population (N)	System, transfer function	Direct aortic BP (mmHg) (SD)	Derived aortic vs. direct aortic BP Mean difference mmHg (SD)			Peripheral vs. direct aortic BP Mean difference mmHg (SD)		
				SBP (mmHg)	DBP (mmHg)	PP (mmHg)	SBP (mmHg)	DBP (mmHg)	PP (mmHg)
<b>Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b>									
Cloud (2003)	Angina patients undergoing CC (30)	SphygmoCor Calibration cuff BP	SBP: 156.8 (32.1) DBP: 77.9 (12.9)	13.3 (15.1)	-11.5 (9.8)	-	1.9 (15.8)	-10.5 (9.7)	-
<b>Index test using radial artery waveform obtained by arterial tonometry and invasive calibration</b>									
Hope (2003b)	Patients undergoing CA or percutaneous coronary intervention (62)	Custom TF1 <sup>a</sup> SBP calibration cuff BP; DBP and MAP calibration invasive aortic	SBP: 129 DBP: 69	2.9 (7.3) <sup>b</sup>	8 (2.5) <sup>b</sup>	-	12.6 (11.5) <sup>b</sup>	-	-
		Custom TF2 <sup>a</sup> SBP calibration cuff BP; DBP and MAP calibration invasive aortic	SBP: 129 DBP: 69	0.8 (6.8) <sup>b</sup>	0.8 (2.3) <sup>b</sup>	-			
<b>Index test using radial artery waveform obtained by radial artery catheterisation</b>									
Pauca (2001)	Patients undergoing cardiac surgery (62)	SphygmoCor Calibrated to invasive blood pressure	-	0.0 (4.4)	0.6 (1.7)	-0.7 (4.2)	15.7 (8.4)	-0.5 (2.0)	16.3 (8.5)

Abbreviations: Aix, augmentation index; BP, blood pressure; CA, coronary angiography; CC, cardiac catheterisation; DBP, diastolic blood pressure; MAP, mean arterial pressure; SBP, systolic blood pressure; SD, standard deviation; TF, transfer function.

<sup>a</sup>Two different custom transfer functions developed at the Cardiovascular Research Centre, Monash Medical Centre and Monash University.

<sup>b</sup>Standard deviations were derived from the 95% limits of agreement.

The most applicable studies were the five that applied transfer functions to radial artery waveforms obtained by applanation tonometry and calibrated using non-invasive means. In these studies, the estimation of central aortic pressure using a transfer function was generally within 15 mmHg of the directly measured aortic pressures. The difference between the derived and directly measured aortic pressures varied significantly between these studies.

The comparative accuracy of transfer functions and cuff sphygmomanometry in estimating aortic blood pressure also showed large variation between studies. Four studies were validated with transducer-tipped catheters and one with a fluid-filled catheter. However, this is not expected to affect the comparative accuracy of the methods. Only one study demonstrated improved estimation of aortic systolic blood pressure (SBP) by derivation using SphygmoCor than by cuff sphygmomanometry ( $-1.5 \pm 11.3$  mmHg and  $10.9 \pm 12.7$ , mmHg respectively) (Smulyan et al 2003). The accuracy of estimation of central diastolic blood pressure (DBP) in this study was similar for both methods (SphygmoCor:  $10.4 \pm 12.7$  mmHg; cuff:  $8.9 \pm 12.9$  mmHg). In this study, which used a transducer-tipped catheter, some of the direct aortic blood pressure measurements were obtained in the proximal descending aorta, rather than the ascending aorta (Smulyan et al 2003). In addition, the automated sphygmomanometer was calibrated using a calibration unit (Cuff Link, DNI Nevada Inc).

A single study demonstrated improved estimation of aortic DBP by the use of a transfer function than by cuff sphygmomanometry (Hope et al 2004). The difference between indirect and direct measurement of aortic DBP using either method was considered small ( $-3 \pm 6$  mmHg for derived aortic blood pressure and  $+5 \pm 7$  mmHg for cuff blood pressure measurement). This study used a transducer-tipped catheter and a customised transfer function that was different from that used in the SphygmoCor system.

Three further studies employed applanation tonometry and calibration using invasive aortic blood pressure measurement; two of these studies used transducer-tipped catheters, while one study used a fluid-filled catheter. These studies all demonstrated that a transfer function provided better estimation of aortic SBP than cuff sphygmomanometric or scaled untransformed radial artery data. However, the method for calibration does not reflect that which would be used in clinical practice.

Two studies used transfer functions to derive aortic waveforms based on invasive radial artery data. These studies compared the accuracy of the derived aortic pressures with invasively measured radial pressures in the estimation of directly measured aortic pressures. Soderstrom et al (2002) demonstrated closer estimation of aortic SBP pressure by invasive radial SBP than derived SBP; however, the measured aortic mean arterial pressure (MAP) had been set as equivalent to the radial MAP, introducing a strong bias. Pauca et al (2001) measured aortic pressures using a fluid-filled catheter and compared these with invasive data obtained from the radial artery and radial data transformed using the SphygmoCor transfer function. This study demonstrated improved accuracy for estimation of aortic SBP by SphygmoCor than by invasive radial artery measurements.

**Table 27 Accuracy of estimation of aortic AIx from derived aortic or radial waveforms**

Author (year)	Population (N)	System, transfer function	Derived aortic vs. direct aortic AIx Mean difference (SD)	Radial vs. direct aortic AIx Mean difference (SD)
<b>Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b>				
Hope 2004	Patients undergoing CA or percutaneous coronary intervention (42)	Custom TF <sup>a</sup> Calibration cuff BP	-8.2% (12.7)	-44.1% (15.7)
Takazawa (1996) <sup>b</sup>	Patients undergoing CC (20)	NR, likely SphygmoCor Calibration cuff BP	-9.0% <sup>b</sup>	-
<b>Index test using radial artery waveform obtained by arterial tonometry and invasive calibration</b>				
Hope (2004)	Patients undergoing CA or percutaneous coronary intervention (42)	Custom TF <sup>a</sup> SBP calibration cuff BP; DBP and MAP calibration invasive aortic	-7.7% (12.4)	-44.1% (15.7)
Fetics (1999)	Patients undergoing CC (39)	ARX SBP calibration cuff BP; DBP and MAP calibration invasive aortic	-54% (232%) <sup>c</sup>	-
<b>Index test using radial artery waveform obtained by radial artery catheterisation</b>				
Hope (2003b)	Patients undergoing CA or percutaneous coronary intervention (62)	Custom TF1 <sup>a</sup> SBP calibration cuff BP; DBP and MAP calibration invasive aortic	-5.6% (12.2) <sup>d</sup>	-
		Custom TF2 <sup>a</sup> SBP calibration cuff BP; DBP and MAP calibration invasive aortic	-4.3% (12.2) <sup>d</sup>	-
Soderstrom (2002)	Patients with angina pectoris (12)	SphygmoCor Invasively determined peripheral waveform	-5% (8)	-44% (11)

Abbreviations: AIx, augmentation index; BP, blood pressure; CA, coronary angiography; CC, cardiac catheterisation; DBP, diastolic blood pressure; MAP, mean arterial pressure; NR, not reported; SBP, systolic blood pressure; SD, standard deviation; TF, transfer function.

<sup>a</sup> Custom transfer function developed at the Cardiovascular Research Centre, Monash Medical Centre and Monash University.

<sup>b</sup> These values were calculated from the findings reported in Takazawa (1996).

<sup>c</sup> Percentage error in the AIx estimate (AIx [%]) difference as percentage of AIx. Since the absolute AIx values vary from the negative to positive values in a range to 60%, the difference as a percentage will vary with the magnitude of AIx. Therefore, differences expressed in this manner are likely to vary widely.

<sup>d</sup> Standard deviations were derived from the 95% limits of agreement.

The accuracy of the systems using a peripheral to central waveform transfer function for determining the aortic augmentation index (AIx), compared with radial artery AIx, is shown in **Table 27**.

Determination of aortic AIx by the use of a peripheral to central transfer function showed a consistent, moderate underestimation of the aortic AIx. Radial artery AIx underestimated aortic AIx to a much greater degree.

The single study that characterised spurious systolic hypertension of youth was combined with nine studies comparing the technical accuracy of derived SphygmoCor values with directly measured central aortic values to provide a body of indirect evidence of limited applicability. The analysis did not yield persuasive evidence to support the ability of the SphygmoCor system to accurately diagnose spurious systolic hypertension of youth.

## Appendix F    Included studies

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**Table 28** and **Table 29** present summaries of the design, population characteristics, test characteristics, outcomes and an assessment of quality and applicability of the included studies. Diagnostic accuracy studies are presented in **Table 28** while studies contributing to the indirect evidence for spurious systolic hypertension of youth are presented in **Table 29**.

**Table 28 Characteristics of the included study of the SphygmoCor system in coronary artery disease**

Study author/s design	Population (N) prevalence	Index test, comparator, reference standard	Study outcomes		Study quality	
Weber (2004) Prospective, blinded study evaluating relationship between CAD and Aix	<p><b>Patient selection:</b> Consecutive male patients undergoing coronary angiography for the diagnosis or exclusion of CAD (465)</p> <p><b>Prevalence CAD:</b> Total patient population 87.3% Patients &lt; 60 years 76.8%</p>	<p><b>Index test:</b> 20 sequential radial artery waveforms acquired, normalisation to HR 75 bpm</p> <p><b>Comparator:</b> None</p> <p><b>Reference standard:</b> Cathcor, Siemens, Judkins technique ≥ 3 highly experienced angiographers (&gt;5000 procedures each)</p>	<p><b>1. LRs for presence of CAD:</b> All patients: Aix -24-9: LR 0.48 Aix 10-21: LR 0.91 Aix 22-28: LR 1.21 Aix 29-60: LR 1.96</p>	<p><b>2. Accuracy of Aix for Diagnosis CAD:</b> All patients: Aix ≥10: Sn 86.2%, Sp 28.8%, DOR 2.5, LR + 1.211, LR- 0.479 Aix ≥22: Sn 55.4%, Sp 62.7%, DOR 2.09, LR+ 1.486, LR- 0.711 Aix &gt;29: Sn 26.6%, Sp 86.4%, DOR 2.31, LR+ 1.962, LR- 0.849</p>	<p>Subgroup: Patients &lt; 60 years: Aix -17-9: LR 0.40 Aix 10-21: LR 0.74 Aix 22-28: LR 1.36 Aix 29-60: LR 3.32</p>	<p>P2, Q2</p> <p>Applicability: Limited. Males only, Prior tests NR</p> <p>Quality: Medium Wrong outcomes reported Selection bias, test failures excluded</p>
			<p><b>3. Post-test probabilities for presence of CAD:</b> All patients: Aix ≥10: +ve 89.3%, -ve 76.7% Aix ≥22: +ve 91.1%, -ve 83.0% Aix &gt;29: +ve 93.1%, -ve 85.4%</p>	<p>Subgroup: Patients &lt;60 years: Aix ≥10: +ve 81.3%, -ve 57.1% Aix ≥22: +ve 86.4%, -ve 65.5% Aix &gt;29: +ve 91.7%, -ve 71.8%</p>		

Abbreviations: Aix, augmentation index; CAD, coronary artery disease; HR, heart rate; LR, likelihood ratio; DOR, diagnostic odds ratio; -ve, negative result; +ve, positive result; Sn, sensitivity; Sp, specificity.

**Table 29 Characteristics and results of the included studies comparing the measurement of derived aortic, radial and measured aortic BP**

Study, author/s, design	Population (N)	Index test, comparator, reference standard	Study outcomes
<p>Chen (1997)</p> <p>Same patient population as Fetics (1999)</p> <p>Study evaluating mathematical transformation of radial waveform data</p>	<p><b>Patient selection:</b> Non-consecutive patients undergoing cardiac catheterisation (20)</p>	<p><b>Index test:</b> ARX Model TF Automated tonometer (Jentow, Colin Medical Instruments Corp) SBP calibration cuff BP; DBP &amp; MAP calibration invasive aortic</p> <p><b>Comparator:</b> Untransformed radial waveform (Jentow tonometer) SBP calibration automated cuff BP (Jentow, Colin Medical Instruments Corp); DBP &amp; MAP calibration invasive aortic Oscillometric cuff</p> <p><b>Reference standard:</b> Micromanometer-tipped catheter (Millar SPC 320) or micromanometer-tipped multi-electrode pressure-volume catheter (Millar SSD 768). Ascending aorta</p>	<p>See Fetics (1999)</p>
<p>Cloud (2003)</p> <p>Study evaluating the use of non-invasive calibration for the SphygmoCor system</p>	<p><b>Patient selection:</b> Non-consecutive patients with angina symptoms undergoing cardiac catheterisation (30)</p>	<p><b>Index test:</b> SphygmoCor system RA tonometry, calibrated, brachial cuff BP</p> <p><b>Comparator:</b> Automatic oscillometric digital BP monitor (Omron, HEM-70 CP). Recorded immediately before tonometry</p> <p><b>Reference standard:</b> Marquette Midas System 4000. Aortic location NR</p>	<p>Outcomes derived using a fluid-filled catheter</p> <p><b>1. Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b></p> <p>Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: 13.3 (15.1); DBP: -11.5 (9.8)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: 1.9 (15.8); DBP: -10.5 (9.7)</p>
<p>Davies (2003)</p> <p>Prospective study comparing calculated aortic to peripheral blood pressure measurements</p>	<p><b>Patient selection:</b> Non-consecutive patients undergoing cardiac catheterisation with easily palpated left RA; excluding patients with a history of brachial or subclavian stenosis (28)</p>	<p><b>Index test:</b> SphygmoCor system RA tonometry, calibrated brachial cuff BP</p> <p><b>Comparator:</b> Oscillometric brachial cuff (Omron HEM-705 CP) system Recorded immediately before tonometry</p> <p><b>Reference standard:</b> Catheter with Transpac IV monitoring kit (Abbott Critical Care Systems, Abbott Laboratories) Siemens Recor V6 system. Ascending aorta</p>	<p>Outcomes derived using a fluid-filled catheter</p> <p><b>Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b></p> <p>Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: -7.2 (10.1); DBP: 12.2 (7.1)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: 3.4 (10.5); DBP: 11.7 (7.2)</p>

Study, author/s, design	Population (N)	Index test, comparator, reference standard	Study outcomes
<p>Fetics (1999)</p> <p>Study evaluating mathematical transformation of radial waveform data</p>	<p><b>Patient selection:</b> Non-consecutive patients undergoing diagnostic cardiac catheterisation (39)</p>	<p><b>Index test:</b> ARX Model Automated tonometer (Jentow, Colin Medical Instruments Corp), analog filtered at 30Hz. SBP calibration cuff BP; DBP &amp; MAP calibration invasive aortic</p> <p><b>Comparator:</b> Untransformed radial waveform (Jentow tonometer) SBP calibration, automated cuff BP (Jentow, Colin Medical Instruments Corp); DBP &amp; MAP calibration, invasive aortic</p> <p><b>Reference standard:</b> Micromanometer-tipped catheter (Millar SPC 320). Ascending aorta</p>	<p>Outcomes derived using a transducer-tipped catheter</p> <p><b>Index test using radial artery waveform obtained by arterial tonometry and not applicable calibration</b></p> <p>Derived aortic vs. direct aortic augmentation index: AIX % mean difference (SD): -54% (232%)<sup>b</sup></p> <p>Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: 0.4 (2.9)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: 13.9 (6.7)</p>
<p>Hope (2003b)</p> <p>Study investigating the accuracy of general transfer functions</p>	<p><b>Patient selection:</b> Non-consecutive patients undergoing coronary angiography or percutaneous coronary intervention; excluding patients with no radial artery; significant obstructive atherosclerosis disease of the upper limb; procedural complication affecting upper limb BP (71)</p>	<p><b>Index test:</b> Fourier transformation RA tonometry (Millar Mikro-Tip, Millar Instruments). SBP calibration cuff BP; DBP &amp; MAP calibration invasive aortic</p> <p><b>Comparator:</b> Untransformed radial waveform (Millar Mikro-Tip tonometer) SBP calibration automated cuff BP; DBP &amp; MAP calibration invasive aortic</p> <p><b>Reference standard:</b> Low compliance fluid-filled catheter Ascending aorta</p>	<p>Outcomes derived using a fluid-filled catheter</p> <p><b>Index test using radial artery waveform obtained by arterial tonometry and not applicable calibration</b></p> <p>Derived aortic vs. direct aortic augmentation index</p> <p>Transfer function 1<sup>a</sup>; AIX % mean difference (CI): -5.6 (24.4)</p> <p>Transfer function 2<sup>a</sup>; AIX % mean difference (CI): -4.3 (24.3)</p> <p>Derived aortic vs. direct aortic BP, mean difference mmHg (CI):</p> <p>Transfer function 1<sup>a</sup> SBP: 2.9 (14.6); DBP: 8 (5.0)</p> <p>Transfer function 2<sup>a</sup> SBP: 0.8 (13.5); DBP: 0.8 (4.6)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (CI): Transfer function 1<sup>a</sup>: SBP: 12.6 (22.9)</p>

Study, author/s, design	Population (N)	Index test, comparator, reference standard	Study outcomes
<p>Hope (2004)</p> <p>Prospective, study assessing the affect of non-invasive calibration of radial waveforms</p>	<p><b>Patient selection:</b> Non-consecutive patients undergoing coronary angiography or percutaneous coronary intervention; excluding patients with symptomatic or clinical evidence of peripheral vascular disease affecting the brachial artery (42)</p>	<p><b>Index test:</b> Fourier transformation RA tonometry (Millar Mikro-Tip, Millar Instruments); SBP calibration cuff BP; DBP &amp; MAP calibration invasive aortic, or calibrated, brachial cuff BP</p> <p><b>Comparator:</b> Dinamap XL 9301 Portable Monitor and Adult Cuff</p> <p>The mean of three BP measurements was used in the analysis</p> <p><b>Reference standard:</b> Transducer-tipped catheter (Millar Mikro-Tip catheter transducer)</p>	<p>Outcomes derived using a transducer-tipped catheter</p> <p><b>Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b></p> <p>Derived aortic vs. direct aortic augmentation index: Aix % mean difference (SD): 7.7 (12.4)</p> <p>Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: 7.0 (12.0); DBP: 3.0 (6.0); PP: 4.0 (12.0)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: -5.0 (16.0); DBP: -5.0 (7.0); PP: 0.0 (16.0)</p> <p><b>Index test using radial artery waveform obtained by arterial tonometry and not applicable calibration</b></p> <p>Derived aortic vs. direct aortic augmentation index: Aix % mean difference (SD): 8.2 (12.7)</p> <p>Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: -1.0 (8.0); DBP: 9.0 (3.0); PP: -10.0 (9.0)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: -15.0 (11.0); DBP: 0.0 (0.0); PP: -15.0 (11.0)</p> <p>Brachial cuff BP measurements SBP: -5.0 (16.0); DBP: -5.0 (7.0); PP: 0.0 (16.0)</p>

Study, author/s, design	Population (N)	Index test, comparator, reference standard	Study outcomes
<p>Pauca (2001)</p> <p>Prospective, study evaluating the SphygmoCor system before and after intensive vasodilation</p>	<p><b>Patient selection:</b> Consecutive patients undergoing cardiac surgery; excluding patients with haemodynamically significant brachial, subclavian or innominate stenosis (62)</p>	<p><b>Index test:</b> SphygmoCor system Radial artery waveforms obtained by a catheter attached to a Transpac manometer (Abbott Critical Care Systems, Abbott Laboratories)</p> <p><b>Comparator:</b> Catheter attached to a Transpac manometer (Abbott Critical Care Systems, Abbott Laboratories) Frequency response &gt;20Hz and a dampening coefficient &gt;0.3</p> <p><b>Reference standard:</b> Catheter attached to a Transpac manometer (Abbott Critical Care Systems, Abbott Laboratories) Ascending aorta Frequency response &gt;20Hz and a dampening coefficient &gt;0.3</p>	<p>Outcomes derived using a fluid-filled catheter</p> <p><b>Index test using radial artery waveform obtained by radial artery catheterisation</b> Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: 0.0 (4.4); DBP: 0.6 (1.7); PP: -0.7 (4.2)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: 15.7 (8.4); DBP: -0.5 (2.0); PP: 16.3 (8.5)</p>
<p>Smulyan (2003)</p> <p>Study of the clinical utility of calculated aortic waveforms</p>	<p><b>Patient selection:</b> Non-consecutive patients undergoing coronary angiography for the diagnosis/exclusion or assessment of the severity of coronary heart disease (50)</p>	<p><b>Index test:</b> SphygmoCor system Calibrated, brachial cuff BP</p> <p><b>Comparator:</b> Oscillometric brachial artery cuff system (Colin Medical Instruments Corp). Recorded immediately after tonometry Oscillometric blood pressure system calibrated with Cuff Link (DNI Nevada Inc) calibration unit</p> <p><b>Reference standard:</b> 6F micromanometer-tipped catheter (Millar SPC 350) Ascending and/ or proximal descending aorta. Pressure amplifiers were unsuitable for the measurement of A1x or ED</p>	<p>Outcomes derived using a transducer-tipped catheter</p> <p><b>Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b> Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: -1.5 (11.3); DBP: 10.4 (12.7); PP: 11.5 (13.6)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: 10.9 (12.7); DBP: 8.9 (12.9); PP: 2.5 (16.3)</p>

Study, author/s, design	Population (N)	Index test, comparator, reference standard	Study outcomes
<p>Soderstrom (2002)</p> <p>Study evaluating the validity of the SphygmoCor system</p>	<p><b>Patient selection:</b> Non-consecutive patients with angina pectoris scheduled for PTCA (12)</p>	<p><b>Index test:</b> SphygmoCor system</p> <p>Radial artery waveforms obtained by a catheter attach to a external pressure transducer (Peter van Berg); MAP calibration to radial</p> <p><b>Comparator:</b> Radial artery BP measurements obtained by a catheter attach to a external pressure transducer (Peter van Berg)</p> <p>Natural frequency 25Hz and a damping coefficient of 0.35–0.5</p> <p><b>Reference standard:</b> 8F Cordis catheter-tip manometer with a pressure interface (Sentron). Flat frequency response up to 180 Hz. Ascending aorta</p> <p>Aortic MAP set identically to radial MAP</p>	<p>Outcomes derived using a transducer-tipped catheter</p> <p><b>Index test using radial artery waveform obtained by radial artery catheterisation</b></p> <p>Derived aortic vs. direct aortic augmentation index Aix: % mean difference (SD): –5.0 (8.0)</p> <p>Derived aortic vs. direct aortic BP, mean difference mmHg (SD): SBP: –8.0 (2.0); DBP: 4.0 (2.0)</p> <p>Peripheral vs. direct aortic BP, mean difference mmHg (SD): SBP: 1.0 (5.0); DBP: 1.0 (2.0)</p>
<p>Takazawa (1996)</p> <p>Study evaluation the validity of a transfer function between ascending aorta and radial artery</p>	<p><b>Patient selection:</b> Non-consecutive patients undergoing diagnostic cardiac catheterisation (20)</p>	<p><b>Index test:</b> Unknown</p> <p>Automated tonometer (Jentow 7000, Colin Medical Instruments Corp)</p> <p>Calibrated, brachial cuff BP</p> <p><b>Comparator:</b> Oscillometric cuff blood pressure (Colin JENTOW 7000 tonometer)</p> <p><b>Reference standard:</b> Catheter (Millar Mikro-Tip catheter), ascending aorta</p>	<p>Outcomes derived using a transducer-tipped catheter</p> <p><b>Index test using radial artery waveform obtained by arterial tonometry with applicable calibration</b></p> <p>Derived aortic vs. direct aortic augmentation index Aix difference between means: –9.0</p> <p>Derived aortic vs. direct aortic BP, difference between means: SBP: –11.0; DBP: 8.0</p> <p>Peripheral vs. direct aortic BP, difference between means: SBP: –2.0; DBP: 5.0</p>

Abbreviations: Aix, augmentation index; BP, blood pressure; SBP, systolic blood pressure; DBP, diastolic blood pressure; MAP, mean arterial pressure; PP, pulse pressure; TF, transfer function; CA, coronary angiography; CC, cardiac catheterisation.

\* Custom transfer functions developed at the Cardiovascular Research Centre, Monash Medical Centre and Monash University.

† Percentage error of the mean percentage difference (percentage SD).

**Table 30 Characteristics and results of the included study of the SphygmoCor system in spurious systolic hypertension of youth**

Study, author/s, design	Population (N)	Index test, comparator, reference standard	Study outcomes (SD)		Study quality		
<p>Mahmud &amp; Feely (2003)</p> <p>Case control study reporting SphygmoCor system measurements</p>	<p><b>Patient selection:</b></p> <p>Medical students aged 23 ± 0.5 years (174)</p> <p>Untreated males with essential hypertension (22)</p>	<p><b>Index test:</b></p> <p>SphygmoCor Radial artery waveforms acquired by applanation tomography calibrated to Oscillometric cuff BP (Omron model HEM-705 CP)</p> <p><b>Comparator:</b> None</p> <p><b>Reference standard:</b> None</p>	<p><b>1. Blood pressure assessments (mmHg):</b></p> <p><i>Spurious hypertension of youth:</i></p> <p>Brachial SBP: 147.3 (2)<sup>a</sup></p> <p>Brachial DBP: 70 (2.2)<sup>b</sup></p> <p>Aortic SBP: 115.9 (1)<sup>a,b</sup></p> <p>Aortic DBP: 70 (2.5)<sup>b</sup></p> <p>Amplification pressure: 31.4 (1.5)<sup>a,b</sup></p>	<p><i>Normotensive males:</i></p> <p>Brachial SBP: 120.5 (1.3)</p> <p>Brachial DBP: 69.9 (1)</p> <p>Aortic SBP: 100 (1.5)</p> <p>Aortic DBP: 71 (1)</p> <p>Amplification pressure: 20.5 (1.36)</p>	<p>P1, Q3</p> <p><i>Applicability:</i> Applicable</p> <p><i>Quality:</i> Low</p> <p>Wrong outcomes reported; no reference standard</p>		
			<p><b>2. Augmentation index:</b></p> <p>Spurious hypertension of youth:</p> <p>AIx (%): -8 (3)<sup>b</sup></p>	<p><i>Essential hypertensive males:</i></p> <p>Brachial SBP: 152 (2.6)</p> <p>Brachial DBP: 96.5 (1.4)</p> <p>Aortic SBP: 138.8 (2.5)</p> <p>Aortic DBP: 98 (1.4)</p> <p>Amplification pressure: 14 (0.9)</p>			
			<p><b>3. Heart rate:</b></p> <p>Spurious hypertension of youth:</p> <p>HR (<i>per min</i>): 61.1 (1.9)<sup>a,b</sup></p>	<p><i>Normotensive males:</i></p> <p>AIx (%): -4 (1.4)</p>	<p><i>Essential hypertensive males:</i></p> <p>AIx (%): 17 (1.9)</p>		
			<p><b>4. Time to reflected wave:</b></p> <p>Spurious hypertension of youth:</p> <p>Tr (<i>msec</i>): 160 (8)<sup>b</sup></p>	<p><i>Normotensive males:</i></p> <p>HR (<i>per min</i>): 68.3 (1.65)</p>	<p><i>Essential hypertensive males:</i></p> <p>HR (<i>per min</i>): 72.4 (3.2)</p>		
			<p><i>Normotensive males:</i></p> <p>Tr (<i>msec</i>): 152 (2.3)</p>	<p><i>Essential hypertensive males:</i></p> <p>Tr (<i>msec</i>): 142 (1.6)</p>			

Abbreviations: AIx, augmentation index; BP, blood pressure; DBP, diastolic blood pressure; HR, heart rate; SBP, systolic blood pressure; SD, standard deviation; Tr, time to reflected wave.

<sup>a</sup>Compared with normotensive males ( $p < 0.01$ ).

<sup>b</sup>Compared with hypertensive males ( $p < 0.01$ ).

## Appendix G    Supplementary study

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A potentially relevant study in regard to indirect evidence for the use of the SphygmoCor system in spurious systolic hypertension of youth was not detected using the literature search. This study was conducted in an appropriate population and reported measurement of waveform parameters using the SphygmoCor system, but without reference standard validation. This study did not report appropriate outcomes for the determination of diagnostic accuracy for spurious systolic hypertension of youth. This study reported brachial cuff and derived aortic blood pressures in a population of young healthy individuals. The details of this study are shown in **Table 31**.

McEniery et al (2005) examined a group of 1008 healthy young university students of both sexes. These researchers identified a subset of subjects with isolated systolic hypertension. The characteristics of these individuals were compared with those who were normotensive or had essential hypertension; a further group of patients with high-normal blood pressure readings were excluded from the analysis. Those with spurious systolic hypertension of youth were significantly taller than both people who were normotensive and people with essential hypertension. They also had significantly increased weight and BMI when compared with those who were normotensive. The spurious systolic hypertension of youth subjects also had significantly decreased heart rates when compared with people with essential hypertension. The SphygmoCor system also identified differences in the derived aortic waveform characteristics between these three groups of subjects.

Those with spurious systolic hypertension of youth had significantly higher blood pressure measurements, both in the peripheral and aortic arteries, compared with the normotensive group. The spurious systolic hypertension of youth subjects also had significantly lower peripheral diastolic blood pressure and lower aortic systolic and diastolic blood pressures than those with essential hypertension, while having increased pulse pressure and peripheral systolic blood pressure.

The augmentation index was significantly lower in the spurious systolic hypertension of subjects when compared with either of the other two groups. Additionally, these subjects also had significantly increased pulse pressure amplification when compared with those with essential hypertension.

The data from McEniery et al (2005) shows similar trends to the data presented by Mahmud and Feely (2003) (**Appendix E**). Both papers indicate that people with spurious systolic hypertension of youth have significant differences in blood pressure measurements and haemodynamic variables when compared with people with normotension and essential hypertension.

**Table 31 Characteristics and results of the ENIGMA study of the SphygmoCor system in spurious systolic hypertension of youth**

Study, author/s, design	Population (N)	Index test, comparator, reference standard	Study outcomes (SD)			Study quality
<p>McEniery (2005)</p> <p>Case series reporting SphygmoCor system measurements</p>	<p><b>Patient selection:</b></p> <p>University population aged 17 to 27 years of age excluding patients with diabetes mellitus, a serum cholesterol <math>\geq 6.5</math> mmol/L, renal disease, cardiovascular disease or receiving any medication (1008)</p>	<p><b>Index test:</b> SphygmoCor Radial artery waveforms acquired by applanation tomography calibrated to oscillometric cuff blood pressure (Omron model HEM-705 CP)</p> <p><b>Comparator:</b> None</p> <p><b>Reference standard:</b> None</p>	<p><b>1. Blood pressure assessments (mmHg):</b></p> <p><i>Spurious hypertension of youth:</i></p> <p>Peripheral SBP: 146 (5)<sup>a,b</sup></p> <p>Peripheral DBP: 78 (7)<sup>a,b</sup></p> <p>Peripheral PP: 66 (7)<sup>a,b</sup></p> <p>Aortic SBP: 120 (6)<sup>a,b</sup></p> <p>Aortic DBP: 78 (7)<sup>a,b</sup></p> <p>Aortic PP: 42 (5)<sup>a,b</sup></p>	<p><b>2. Augmentation index:</b></p> <p>Spurious hypertension of youth:</p> <p>AIx (%): -4 (12)<sup>a,b</sup></p>	<p><b>3. Heart rate:</b></p> <p>Spurious hypertension of youth:</p> <p>HR (per min): 69 (12)<sup>b</sup></p>	<p>P1, Q3</p> <p><b>Applicability:</b></p> <p>Applicable</p> <p><b>Quality:</b> Low.</p> <p>Wrong outcomes reported; no reference standard; selection bias</p>
			<p>Normotensive:</p> <p>Peripheral SBP: 115 (9)</p> <p>Peripheral DBP: 71 (7)</p> <p>Peripheral PP: 44 (9)</p> <p>Aortic SBP: 98 (8)</p> <p>Aortic DBP: 71 (7)</p> <p>Aortic PP: 27 (5)</p>	<p>Normotensive:</p> <p>AIx (%): 0 (12)</p>	<p>Essential hypertensive:</p> <p>AIx (%): 5 (13)</p>	
			<p>Normotensive:</p> <p>Peripheral SBP: 115 (9)</p> <p>Peripheral DBP: 71 (7)</p> <p>Peripheral PP: 44 (9)</p> <p>Aortic SBP: 98 (8)</p> <p>Aortic DBP: 71 (7)</p> <p>Aortic PP: 27 (5)</p>	<p>Normotensive:</p> <p>HR (per min): 68 (11)</p>	<p>Essential hypertensive:</p> <p>HR (per min): 75 (10)</p>	
			<p>Spurious hypertension of youth:</p> <p>PP amplification: 1.72 (0.11)<sup>b</sup></p>	<p>Normotensive:</p> <p>PP amplification: 1.69 (0.14)</p>	<p>Essential hypertensive:</p> <p>PP amplification: 1.63 (0.2)</p>	

Abbreviations: AIx, augmentation index; BP, blood pressure; DBP, diastolic blood pressure; HR, heart rate; SBP, systolic blood pressure; SD, standard deviation; Tr, time to reflected wave.

<sup>a</sup>Compared with normotensive males ( $p < 0.01$ ).

<sup>b</sup>Compared with hypertensive males ( $p < 0.01$ ).

<sup>c</sup>Corrected for mean arterial pressure and gender.

# Abbreviations

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ABPM	ambulatory blood pressure monitoring
AIHW	Australian Institute of Health and Welfare
AIx	augmentation index
AUC	area under the curve
BP	blood pressure
CAD	coronary artery disease
DBP	diastolic blood pressure
DOR	diagnostic odds ratio
DPTI	diastolic pressure time index
ECG	electrocardiograph/ electrocardiography
HR	heart rate
LR	likelihood ratio
LVEF	left ventricular ejection fraction
MBS	Medicare Benefits Schedule
MSAC	Medical Services Advisory Committee
NHMRC	National Health and Medical Research Council
PP	pulse pressure
PPICO	population, prior tests, index test, comparators, outcomes
PTT	pulse transit time
PWA	pulse waveform analysis
PWV	pulse wave velocity
RA	renal artery
ROC	receiver-operating characteristic
SBP	systolic blood pressure
SEVR	subendocardial viability ratio
Sn	sensitivity
Sp	specificity
TGA	Therapeutic Goods Administration
Tr	time to pressure wave reflection
T <sub>TI</sub>	tension time index

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